

COMPLIANCE WORKING RECORD

AI system inventory

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Document type	AI system inventory
Document reference	FICTIONAL-AI-GOVERNANCE-1
Jurisdiction	Customer-selected US preparation
Version	1
Status	Named AI governance workpaper prepared
Prepared by	Fictional responsible AI reviewer
Prepared on	2026-10-09T18:00:00Z
Rule pack	2026-10-09.ai-governance-workpaper.1
Generated	October 9, 2026

Purpose and boundary

Maintain a versioned inventory of actual AI systems, models, approved uses, owners, deployments and affected processes.

Join exact model versions and source-reported deployments to each owned system and approved purpose. Compare lifecycle, retirement, model availability, unsupported uses and declared inventory population. Preserve unknown model dependencies and review dates as actionable gaps.

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Approved scope and AI governance method

Fictional exercise only. One exact system/version with actual selected task rows, imported approved rules and original sources. Replace every fact before use.

Scope approval. FICTIONAL-SCOPE-1

Approved method. Fictional approved AI governance procedure 1. Source. f1. Locator. /methodVersion. Approver. Fictional authorized method approver. Approval date. 2026-01-02T12:00:00Z

Population statement. Declared complete population. Customer-declared system count. 1

Controlled AI system and deployment inventory system inputs

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	System Id. SYSTEM-1 Name. Fictional Northfield AI assistant Version. 1 Owner. Fictional AI system owner Provider. Fictional model provider Organization role. Fictional customer-approved deployer role Jurisdiction. Customer-selected US preparation Framework. Fictional approved governance scheme Framework Version. Fictional operative framework 1 Approved framework source file ID. f1 Framework Locator. /frameworkVersion Scope Approved By. Fictional reported Scope Approved By Scope approval timestamp. 2026-01-02T12:00:00Z Intended Purpose. Summarize fictional customer evidence Actual Behavior. Assists a human by summarizing explicitly selected fictional source records. Affected groups. ["Fictional staff"] Deployment Contexts. ["Fictional browser workspace"] Lifecycle. Active Next Review At. 2027-01-01T12:00:00Z Population Mode. Declared complete population Declared system count. 1 Original source file ID. f2 Original JSON record pointer. /systems/0 Original source binding. Exact JSON recor [formal preview abridged]

Models

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Model Id. MODEL-1 System Id. SYSTEM-1 Model Name. Fictional model Model Version. 1.0 Provider. Fictional model provider Capabilities. ["Summarize fictional customer evidence"] Limitations. ["Fictional source selection only. Responsible review required."] Approved Uses. ["Summarize fictional customer evidence"] Restricted Uses. ["Make autonomous legal decisions"] Available From. 2026-01-01T12:00:00Z Retired At. Original source file ID. f2 Original JSON record pointer. /models/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-models-MODEL-1"]

Uses

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Use Id. USE-1 System Id. SYSTEM-1 Process. Fictional evidence review Purpose. Summarize fictional customer evidence Model Ids. ["MODEL-1"] Data Categories. ["Fictional business records"] Affected groups. ["Fictional staff"] Approved By. Fictional reported Approved By Approved At. 2026-10-08T12:00:00Z State. Active Original source file ID. f2 Original JSON record pointer. /uses/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-uses-USE-1"]

Deployments

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Deployment Id. DEPLOY-1 System Id. SYSTEM-1 Use Id. USE-1 Model Id. MODEL-1 Environment. Fictional local pilot Deployed At. 2026-10-08T15:00:00Z Retired At. Owner. Fictional operations owner Access Roles. ["Fictional reviewer"] Data Categories. ["Fictional business records"] State. Active Original source file ID. f2 Original JSON record pointer. /deployments/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-deployments-DEPLOY-1"]

Decisions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Evidence

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Evidence Id. PROOF-systems-SYSTEM-1 System Id. SYSTEM-1 Record Type. systems Record Id. SYSTEM-1 File Id. f2 Source Sha256. 6c08dcb5e5d9c8a1f48ce529fc4cf1bcf502fb9a43922bdb70c73c79e7747f3 Locator. /systems/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

ID	Facts
2	Evidence Id. PROOF-models-MODEL-1 System Id. SYSTEM-1 Record Type. models Record Id. MODEL-1 File Id. f2 Source Sha256. 6c08dcb5e5d9c8a1f48ce529fc4cfe1bcf502fb9a43922bdb70c73c79e7747f3 Locator. /models/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
3	Evidence Id. PROOF-uses-USE-1 System Id. SYSTEM-1 Record Type. uses Record Id. USE-1 File Id. f2 Source Sha256. 6c08dcb5e5d9c8a1f48ce529fc4cfe1bcf502fb9a43922bdb70c73c79e7747f3 Locator. /uses/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
4	Evidence Id. PROOF-deployments-DEPLOY-1 System Id. SYSTEM-1 Record Type. deployments Record Id. DEPLOY-1 File Id. f2 Source Sha256. 6c08dcb5e5d9c8a1f48ce529fc4cfe1bcf502fb9a43922bdb70c73c79e7747f3 Locator. /deployments/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

Actions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Controlled AI system and deployment inventory

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
SYSTEM-1	Item. SYSTEM-1 System ID. SYSTEM-1 Exact system version. 1 Intended purpose. Summarize fictional customer evidence Actual behavior. Assists a human by summarizing explicitly selected fictional source records. Owner. Fictional AI system owner Models. [{"id":"MODEL-1","name":"Fictional model","version":"1.0","provider":"Fictional model provider"}] Uses. [{"useId":"USE-1","systemId":"SYSTEM-1","process":"Fictional evidence review","purpose":"Summarize fictional customer evidence","modelIds":["MODEL-1"],"dataCategories":["Fictional business records"],"affectedGroups":["Fictional staff"],"approvedBy":"Fictional reported Approved By","approvedAt":"2026-10-08T12:00:00Z","state":"Active","sourceFileId":"f2","sourceLocator":"/uses/0","sourceBinding":"Exact JSON record","evidenceIds":["PROOF-uses-USE-1"]} Deployments. [{"deploymentId":"DEPLOY-1","systemId":"SYSTEM-1","useId":"USE-1","modelId":"MODEL-1","environment":"Fictional local pilot","deployedAt":"2026-10-08T15:00:00Z","retiredAt":"","own [formal preview abridged]

Named AI governance draft sections

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

Accountable AI governance actions

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

Review findings

1 actual system scopes. 1 named outputs. 0 unresolved findings.

The first 100 findings and 1000 characters per displayed field appear here. Complete findings remain in the data exports.

Kind	ID	Result	Finding
Original systems source	SYSTEM-1	Matched	Every exact task fact matches the original bytes.
Original models source	MODEL-1	Matched	Every exact task fact matches the original bytes.
Original uses source	USE-1	Matched	Every exact task fact matches the original bytes.
Original deployments source	DEPLOY-1	Matched	Every exact task fact matches the original bytes.

Selected exact attachments

ID	Filename	Bytes	SHA-256
f1	fictional-approved-ai-governance-rules.json	148	1f8958ad149556a61f28cef068f35fdee62fa1412604839158f59e90fde605c9
f2	fictional-original-ai-governance-records.json	2225	6c08dc5e5d9c8a1f48ce529fc4cfe1bcf502fb9a43922bdb70c73c79e7747f3

Unresolved items and limitations

The first 100 unresolved items appear here, with 1000 characters per preview. Complete findings remain in Excel and JSON.

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Limitations

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Official sources

Source	Provision	Date	Link
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Source	Provision	Date	Link
NIST: AI Risk Management Framework 1.0	The voluntary AI RMF organizes governance, context mapping, measurement and management. MAP 3.4 and MAP 3.5 address operator proficiency and human oversight. Governed system identity, source evidence, affected groups, measured outcomes and accountable actions support this preparation structure. It does not prescribe an operative legal classification or assure a deployment.. Join exact model versions and source-reported deployments to each owned system and approved purpose. Compare lifecycle, retirement, model availability, unsupported uses and declared inventory population. Preserve unknown model dependencies and review dates as actionable gaps. Actual legal applicability, source completeness and real-world effectiveness remain separately approved decisions.	October 9, 2026	https://nvlpubs.nist.gov/nistpubs/ai/NIST.AI.100-1.pdf