

COMPLIANCE WORKING RECORD

Fundamental rights impact assessment preparation

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Document type	Fundamental rights impact assessment preparation
Document reference	FICTIONAL-AI-GOVERNANCE-1
Jurisdiction	Customer-selected US preparation
Version	1
Status	Named AI governance workpaper prepared
Prepared by	Fictional responsible AI reviewer
Prepared on	2026-10-09T18:00:00Z
Rule pack	2026-10-09.ai-governance-workpaper.1
Generated	October 9, 2026

Purpose and boundary

Prepare an impact assessment linking actual affected groups, rights, impacts, consultation, safeguards and residual decisions.

Map exact system behavior to directly and indirectly affected groups and named rights impacts. Evaluate selected likelihood and severity, implemented safeguard coverage, source-supported consultation and residual acceptance. Preserve group-specific gaps, unsupported mitigation and ownerless actions. Assemble the complete assessment narrative.

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Approved scope and AI governance method

Fictional exercise only. One exact system/version with actual selected task rows, imported approved rules and original sources. Replace every fact before use.

Scope approval. FICTIONAL-SCOPE-1

Approved method. Fictional approved AI governance procedure 1. Source. f1. Locator. /methodVersion. Approver. Fictional authorized method approver. Approval date. 2026-01-02T12:00:00Z

Population statement. Declared complete population. Customer-declared system count. 1

Rights impact, consultation and residual-decision assessment system inputs

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	System Id. SYSTEM-1 Name. Fictional Northfield AI assistant Version. 1 Owner. Fictional AI system owner Provider. Fictional model provider Organization role. Fictional customer-approved deployer role Jurisdiction. Customer-selected US preparation Framework. Fictional approved governance scheme Framework Version. Fictional operative framework 1 Approved framework source file ID. f1 Framework Locator. /frameworkVersion Scope Approved By. Fictional reported Scope Approved By Scope approval timestamp. 2026-01-02T12:00:00Z Intended Purpose. Summarize fictional customer evidence Actual Behavior. Assists a human by summarizing explicitly selected fictional source records. Affected groups. ["Fictional staff"] Deployment Contexts. ["Fictional browser workspace"] Lifecycle. Active Next Review At. 2027-01-01T12:00:00Z Population Mode. Declared complete population Declared system count. 1 Original source file ID. f2 Original JSON record pointer. /systems/0 Original source binding. Exact JSON recor [formal preview abridged]

Groups

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Group Id. GROUP-1 System Id. SYSTEM-1 Description. Fictional staff reviewing business records Directness. Direct Vulnerabilities. Fictional source review reports no selected additional vulnerability. Consultation Required. Yes Consultation Basis. Fictional approved affected-staff consultation criterion. Original source file ID. f2 Original JSON record pointer. /groups/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-groups-GROUP-1"]

Impacts

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Impact Id. IMPACT-1 System Id. SYSTEM-1 Group Ids. ["GROUP-1"] Right. Fictional privacy and meaningful human review Mechanism. Fictional model could omit an important source limitation. Likelihood. Low Severity. Medium Affected Process. Fictional evidence review Evidence Basis. Fictional source-supported outcome examples Owner. Fictional responsible assessment owner Original source file ID. f2 Original JSON record pointer. /impacts/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-impacts-IMPACT-1"]

Safeguards

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Safeguard Id. SAFEGUARD-1 System Id. SYSTEM-1 Impact Ids. ["IMPACT-1"] Description. Fictional implemented human source comparison before release. Owner. Fictional review owner State. Implemented Verified At. 2026-10-08T15:00:00Z Verification Ref. Fictional actual source comparison test 1 Effectiveness. Source-reported effective Residual Basis. Fictional source omissions remain possible and are explicitly reviewed. Original source file ID. f2 Original JSON record pointer. /safeguards/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-safeguards-SAFEGUARD-1"]

Consultations

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Consultation Id. CONSULT-1 System Id. SYSTEM-1 Group Ids. ["GROUP-1"] Participants. Fictional affected staff reviewer Method. Fictional documented walkthrough Consulted At. 2026-10-08T12:00:00Z Findings. Fictional staff requested original-source links. Response. Fictional source links and human release review were implemented. Owner. Fictional consultation owner Original source file ID. f2 Original JSON record pointer. /consultations/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-consultations-CONSULT-1"]

Impact Decisions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Impact Decision Id. IMPACT-DECISION-1 System Id. SYSTEM-1 Impact Ids. ["IMPACT-1"] Safeguard Ids. ["SAFEGUARD-1"] Outcome. Accepted for review Residual Rationale. Fictional selected impacts remain subject to documented source comparison and accountable release approval. Approved By. Fictional reported Approved By Approved At. 2026-10-08T15:00:00Z Next Review At. 2027-01-01T12:00:00Z Original source file ID. f2 Original JSON record pointer. /impactDecisions/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-impactDecisions-IMPACT-DECISION-1"]

Decisions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Evidence

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Evidence Id. PROOF-systems-SYSTEM-1 System Id. SYSTEM-1 Record Type. systems Record Id. SYSTEM-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /systems/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
2	Evidence Id. PROOF-groups-GROUP-1 System Id. SYSTEM-1 Record Type. groups Record Id. GROUP-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /groups/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
3	Evidence Id. PROOF-impacts-IMPACT-1 System Id. SYSTEM-1 Record Type. impacts Record Id. IMPACT-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /impacts/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

ID	Facts
4	Evidence Id. PROOF-safeguards-SAFEGUARD-1 System Id. SYSTEM-1 Record Type. safeguards Record Id. SAFEGUARD-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /safeguards/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
5	Evidence Id. PROOF-consultations-CONSULT-1 System Id. SYSTEM-1 Record Type. consultations Record Id. CONSULT-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /consultations/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
6	Evidence Id. PROOF-impactDecisions-IMPACT-DECISION-1 System Id. SYSTEM-1 Record Type. impactDecisions Record Id. IMPACT-DECISION-1 File Id. f2 Source Sha256. 21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071 Locator. /impactDecisions/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

Actions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Rights impact, consultation and residual-decision assessment

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
IMPACT-DECISION-1	<p>Item. IMPACT-DECISION-1 System ID. SYSTEM-1 Rights. Fictional privacy and meaningful human review Outcome. Accepted for review Draft text. Rights impact assessment. SYSTEM-1</p> <p>Selected rights and affected groups. Fictional privacy and meaningful human review. Groups. ["GROUP-1"]. Mechanism. Fictional model could omit an important source limitation.. Likelihood. Low. Severity. Medium</p> <p>Actual safeguards. Fictional implemented human source comparison before release.. Owner. Fictional review owner. Verified. 2026-10-08T15:00:00Z. Residual basis. Fictional source omissions remain possible and are explicitly reviewed.</p> <p>Consultation. Fictional affected staff reviewer. Fictional documented walkthrough. Fictional staff requested original-source links.. Response. Fictional source links and human release review were implemented.</p> <p>Responsible residual conclusion. Accepted for review. Fictional selected impacts remain subject to documented source comparison and accountable release approval.</p> <p>Next re [formal preview abridged]</p>

Named AI governance draft sections

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
IMPACT-DECISION-1	<p>Item. IMPACT-DECISION-1 System ID. SYSTEM-1 Title. Rights impact, consultation and residual-decision assessment Decision. Prepared for review Draft text. Rights impact assessment. SYSTEM-1</p> <p>Selected rights and affected groups. Fictional privacy and meaningful human review. Groups. ["GROUP-1"]. Mechanism. Fictional model could omit an important source limitation.. Likelihood. Low. Severity. Medium</p> <p>Actual safeguards. Fictional implemented human source comparison before release.. Owner. Fictional review owner. Verified. 2026-10-08T15:00:00Z. Residual basis. Fictional source omissions remain possible and are explicitly reviewed.</p> <p>Consultation. Fictional affected staff reviewer. Fictional documented walkthrough. Fictional staff requested original-source links.. Response. Fictional source links and human release review were implemented.</p> <p>Responsible residual conclusion. Accepted for review. Fictional selected impacts remain subject to documented source comparison and accountable release app [formal preview abridged]</p>

Accountable AI governance actions

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

AI governance draft. IMPACT-DECISION-1. Prepared for review

Complete source-supported draft text appears below and remains in Excel and JSON.

Rights impact assessment. SYSTEM-1

Selected rights and affected groups. Fictional privacy and meaningful human review. Groups. ["GROUP-1"]. Mechanism. Fictional model could omit an important source limitation.. Likelihood. Low. Severity. Medium

Actual safeguards. Fictional implemented human source comparison before release.. Owner. Fictional review owner. Verified. 2026-10-08T15:00:00Z. Residual basis. Fictional source omissions remain possible and are explicitly reviewed.

Consultation. Fictional affected staff reviewer. Fictional documented walkthrough. Fictional staff requested original-source links.. Response. Fictional source links and human release review were implemented.

Responsible residual conclusion. Accepted for review. Fictional selected impacts remain subject to documented source comparison and accountable release approval.

Next review. 2027-01-01T12:00:00Z

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Review findings

1 actual system scopes. 1 named outputs. 0 unresolved findings.

The first 100 findings and 1000 characters per displayed field appear here. Complete findings remain in the data exports.

Kind	ID	Result	Finding
Original systems source	SYSTEM-1	Matched	Every exact task fact matches the original bytes.
Original groups source	GROUP-1	Matched	Every exact task fact matches the original bytes.
Original impacts source	IMPACT-1	Matched	Every exact task fact matches the original bytes.
Original safeguards source	SAFEGUARD-1	Matched	Every exact task fact matches the original bytes.
Original consultations source	CONSULT-1	Matched	Every exact task fact matches the original bytes.
Original impactDecisions source	IMPACT-DECISION-1	Matched	Every exact task fact matches the original bytes.

Selected exact attachments

ID	Filename	Bytes	SHA-256
f1	fictional-approved-ai-governance-rules.json	148	1f8958ad149556a61f28cef068f35fdee62fa1412604839158f59e90fde605c9
f2	fictional-original-ai-governance-records.json	3245	21ec96687bed68e00fcc21428976d627e932ddb5cfc9089ef3dafcaecb72f071

Unresolved items and limitations

The first 100 unresolved items appear here, with 1000 characters per preview. Complete findings remain in Excel and JSON.

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Limitations

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Official sources

Source	Provision	Date	Link
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Source	Provision	Date	Link
NIST: AI Risk Management Framework 1.0	The voluntary AI RMF organizes governance, context mapping, measurement and management. MAP 3.4 and MAP 3.5 address operator proficiency and human oversight. Governed system identity, source evidence, affected groups, measured outcomes and accountable actions support this preparation structure. It does not prescribe an operative legal classification or assure a deployment.. Map exact system behavior to directly and indirectly affected groups and named rights impacts. Evaluate selected likelihood and severity, implemented safeguard coverage, source-supported consultation and residual acceptance. Preserve group-specific gaps, unsupported mitigation and ownerless actions. Assemble the complete assessment narrative. Actual legal applicability, source completeness and real-world effectiveness remain separately approved decisions.	October 9, 2026	https://nvlpubs.nist.gov/nistpubs/ai/NIST.AI.100-1.pdf