

COMPLIANCE WORKING RECORD

Human oversight plan drafter

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Document type	Human oversight plan drafter
Document reference	FICTIONAL-AI-GOVERNANCE-1
Jurisdiction	Customer-selected US preparation
Version	1
Status	Named AI governance workpaper prepared
Prepared by	Fictional responsible AI reviewer
Prepared on	2026-10-09T18:00:00Z
Rule pack	2026-10-09.ai-governance-workpaper.1
Generated	October 9, 2026

Purpose and boundary

Draft a system-specific human oversight plan with real reviewer information, authority, intervention, escalation and workload.

Match every selected decision point to trained reviewers, review-before-action timing, source information and actual pause/override/reject authority. Calculate exact daily review minutes against approved available capacity. Link intervention tests and monitoring indicators. Preserve unsupported authority or excessive workload as explicit failures.

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Approved scope and AI governance method

Fictional exercise only. One exact system/version with actual selected task rows, imported approved rules and original sources. Replace every fact before use.

Scope approval. FICTIONAL-SCOPE-1

Approved method. Fictional approved AI governance procedure 1. Source. f1. Locator. /methodVersion. Approver. Fictional authorized method approver. Approval date. 2026-01-02T12:00:00Z

Population statement. Declared complete population. Customer-declared system count. 1

Human oversight, capacity and intervention plan system inputs

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	System Id. SYSTEM-1 Name. Fictional Northfield AI assistant Version. 1 Owner. Fictional AI system owner Provider. Fictional model provider Organization role. Fictional customer-approved deployer role Jurisdiction. Customer-selected US preparation Framework. Fictional approved governance scheme Framework Version. Fictional operative framework 1 Approved framework source file ID. f1 Framework Locator. /frameworkVersion Scope Approved By. Fictional reported Scope Approved By Scope approval timestamp. 2026-01-02T12:00:00Z Intended Purpose. Summarize fictional customer evidence Actual Behavior. Assists a human by summarizing explicitly selected fictional source records. Affected groups. ["Fictional staff"] Deployment Contexts. ["Fictional browser workspace"] Lifecycle. Active Next Review At. 2027-01-01T12:00:00Z Population Mode. Declared complete population Declared system count. 1 Original source file ID. f2 Original JSON record pointer. /systems/0 Original source binding. Exact JSON recor [formal preview abridged]

Decision Points

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Point Id. POINT-1 System Id. SYSTEM-1 Decision. Fictional evidence output release Timing. Before action Potential Harm. Fictional omitted source limitations Required Authority. ["Pause","Reject"] Information Required. ["Original source","Draft limitations"] Expected Daily Volume. 8 Minutes Per Review. 7.5 Required Monitoring. ["Fictional weekly omission review"] Original source file ID. f2 Original JSON record pointer. /decisionPoints/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-decisionPoints-POINT-1"]

Reviewers

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Reviewer Id. REVIEWER-1 System Id. SYSTEM-1 Role. Fictional source-review owner Competence. Fictional training on exact source comparison Training Ref. Fictional actual training record 1 Independence Basis. Fictional authority to reject output without product-owner interference. Authority. ["Pause","Reject"] Available Daily Minutes. 60 Trained At. 2026-10-08T12:00:00Z Original source file ID. f2 Original JSON record pointer. /reviewers/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-reviewers-REVIEWER-1"]

Oversight Assignments

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Assignment Id. ASSIGN-1 System Id. SYSTEM-1 Point Id. POINT-1 Reviewer Ids. ["REVIEWER-1"] Review Timing. Before action Information Provided. ["Original source","Draft limitations"] Intervention Steps. Fictional stop release, compare original records and reject unsupported output. Escalation Owner. Fictional governance owner Escalation Steps. Fictional retain exact source and route unresolved issue before release. Monitoring Method. Fictional weekly source-omission review. Approved By. Fictional reported Approved By Approved At. 2026-10-08T15:00:00Z Original source file ID. f2 Original JSON record pointer. /oversightAssignments/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-oversightAssignments-ASSIGN-1"]

Intervention Tests

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Test Id. TEST-1 System Id. SYSTEM-1 Assignment Id. ASSIGN-1 Authority. Pause Tested At. 2026-10-08T16:00:00Z Tester. Fictional trained reviewer Result. Pass Evidence Ref. Fictional actual intervention proof 1 Limitation. Original source file ID. f2 Original JSON record pointer. /interventionTests/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-interventionTests-TEST-1"]
2	Test Id. TEST-2 System Id. SYSTEM-1 Assignment Id. ASSIGN-1 Authority. Reject Tested At. 2026-10-08T16:00:00Z Tester. Fictional trained reviewer Result. Pass Evidence Ref. Fictional actual intervention proof 2 Limitation. Original source file ID. f2 Original JSON record pointer. /interventionTests/1 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-interventionTests-TEST-2"]

Decisions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Evidence

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Evidence Id. PROOF-systems-SYSTEM-1 System Id. SYSTEM-1 Record Type. systems Record Id. SYSTEM-1 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /systems/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
2	Evidence Id. PROOF-decisionPoints-POINT-1 System Id. SYSTEM-1 Record Type. decisionPoints Record Id. POINT-1 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /decisionPoints/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
3	Evidence Id. PROOF-reviewers-REVIEWER-1 System Id. SYSTEM-1 Record Type. reviewers Record Id. REVIEWER-1 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /reviewers/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
4	Evidence Id. PROOF-oversightAssignments-ASSIGN-1 System Id. SYSTEM-1 Record Type. oversightAssignments Record Id. ASSIGN-1 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /oversightAssignments/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
5	Evidence Id. PROOF-interventionTests-TEST-1 System Id. SYSTEM-1 Record Type. interventionTests Record Id. TEST-1 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /interventionTests/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
6	Evidence Id. PROOF-interventionTests-TEST-2 System Id. SYSTEM-1 Record Type. interventionTests Record Id. TEST-2 File Id. f2 Source Sha256. e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56 Locator. /interventionTests/1 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

Actions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Human oversight, capacity and intervention plan

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
ASSIGN-1	<p>Item. ASSIGN-1 System ID. SYSTEM-1 Decision point. POINT-1 Daily minutes per selected reviewer. 60 Review timing. Before action Draft text. Human oversight plan. ASSIGN-1</p> <p>Decision point. Fictional evidence output release</p> <p>Potential harm. Fictional omitted source limitations</p> <p>Reviewer roles. Fictional source-review owner. Competence. Fictional training on exact source comparison. Independence. Fictional authority to reject output without product-owner interference.. Authority. ["Pause","Reject"]</p> <p>Review timing. Before action</p> <p>Information provided. ["Original source","Draft limitations"]</p> <p>Expected review minutes daily. 60. Each selected reviewer reserves the full decision-point workload, a conservative explicit redundancy assumption.</p> <p>Intervention steps. Fictional stop release, compare original records and reject unsupported output.</p> <p>Escalation. Fictional governance owner. Fictional retain exact source and route unresolved issue before release.</p> <p>Monitoring. Fictional weekly source-omiss [formal preview abridged]</p>

Named AI governance draft sections

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
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ID	Result
ASSIGN-1	<p>Item. ASSIGN-1 System ID. SYSTEM-1 Title. Human oversight, capacity and intervention plan Decision. Prepared for review Draft text. Human oversight plan. ASSIGN-1</p> <p>Decision point. Fictional evidence output release</p> <p>Potential harm. Fictional omitted source limitations</p> <p>Reviewer roles. Fictional source-review owner. Competence. Fictional training on exact source comparison. Independence. Fictional authority to reject output without product-owner interference.. Authority. ["Pause","Reject"]</p> <p>Review timing. Before action</p> <p>Information provided. ["Original source","Draft limitations"]</p> <p>Expected review minutes daily. 60. Each selected reviewer reserves the full decision-point workload, a conservative explicit redundancy assumption.</p> <p>Intervention steps. Fictional stop release, compare original records and reject unsupported output.</p> <p>Escalation. Fictional governance owner. Fictional retain exact source and route unresolved issue before release.</p> <p>Monitoring. Fictional weekly source-omission revi [formal preview abridged]</p>

Accountable AI governance actions

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

AI governance draft. ASSIGN-1. Prepared for review

Complete source-supported draft text appears below and remains in Excel and JSON.

Human oversight plan. ASSIGN-1

Decision point. Fictional evidence output release

Potential harm. Fictional omitted source limitations

Reviewer roles. Fictional source-review owner. Competence. Fictional training on exact source comparison. Independence. Fictional authority to reject output without product-owner interference.. Authority. ["Pause","Reject"]

Review timing. Before action

Information provided. ["Original source","Draft limitations"]

Expected review minutes daily. 60. Each selected reviewer reserves the full decision-point workload, a conservative explicit redundancy assumption.

Intervention steps. Fictional stop release, compare original records and reject unsupported output.

Escalation. Fictional governance owner. Fictional retain exact source and route unresolved issue before release.

Monitoring. Fictional weekly source-omission review.

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Review findings

1 actual system scopes. 1 named outputs. 0 unresolved findings.

The first 100 findings and 1000 characters per displayed field appear here. Complete findings remain in the data exports.

Kind	ID	Result	Finding
Original systems source	SYSTEM-1	Matched	Every exact task fact matches the original bytes.
Original decisionPoints source	POINT-1	Matched	Every exact task fact matches the original bytes.
Original reviewers source	REVIEWE R-1	Matched	Every exact task fact matches the original bytes.
Original oversightAssignments source	ASSIGN-1	Matched	Every exact task fact matches the original bytes.
Original interventionTests source	TEST-1	Matched	Every exact task fact matches the original bytes.
Original interventionTests source	TEST-2	Matched	Every exact task fact matches the original bytes.

Selected exact attachments

ID	Filename	Bytes	SHA-256
f1	fictional-approved-ai-governance-rules.json	148	1f8958ad149556a61f28cef068f35fdee62fa1412604839158f59e90fde605c9
f2	fictional-original-ai-governance-records.json	3154	e78d93db4818b2719e9cc5363e1ad1c82465e5e62118c89af2a90ddccbdcba56

Unresolved items and limitations

The first 100 unresolved items appear here, with 1000 characters per preview. Complete findings remain in Excel and JSON.

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Limitations

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Official sources

Source	Provision	Date	Link
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Source	Provision	Date	Link
NIST: AI Risk Management Framework 1.0	The voluntary AI RMF organizes governance, context mapping, measurement and management. MAP 3.4 and MAP 3.5 address operator proficiency and human oversight. Governed system identity, source evidence, affected groups, measured outcomes and accountable actions support this preparation structure. It does not prescribe an operative legal classification or assure a deployment.. Match every selected decision point to trained reviewers, review-before-action timing, source information and actual pause/override/reject authority. Calculate exact daily review minutes against approved available capacity. Link intervention tests and monitoring indicators. Preserve unsupported authority or excessive workload as explicit failures. Actual legal applicability, source completeness and real-world effectiveness remain separately approved decisions.	October 9, 2026	https://nvlpubs.nist.gov/nistpubs/ai/NIST.AI.100-1.pdf