

COMPLIANCE WORKING RECORD

Post-market monitoring plan assembler

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Document type	Post-market monitoring plan assembler
Document reference	FICTIONAL-AI-GOVERNANCE-1
Jurisdiction	Customer-selected US preparation
Version	1
Status	Named AI governance workpaper prepared
Prepared by	Fictional responsible AI reviewer
Prepared on	2026-10-09T18:00:00Z
Rule pack	2026-10-09.ai-governance-workpaper.1
Generated	October 9, 2026

Purpose and boundary

Assemble a post-market monitoring plan from approved risks, indicators, thresholds, feedback, incidents and change triggers.

Connect every declared risk to indicators with exact denominators, units, sampling periods, thresholds, responsible actions and escalation. Compare supplied observations with approved numeric thresholds. Require feedback and material-change routes. Draft the complete monitoring plan without operating recurring monitoring or inferring reportability.

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Approved scope and AI governance method

Fictional exercise only. One exact system/version with actual selected task rows, imported approved rules and original sources. Replace every fact before use.

Scope approval. FICTIONAL-SCOPE-1

Approved method. Fictional approved AI governance procedure 1. Source. f1. Locator. /methodVersion. Approver. Fictional authorized method approver. Approval date. 2026-01-02T12:00:00Z

Population statement. Declared complete population. Customer-declared system count. 1

Risk-linked monitoring, threshold and escalation plan system inputs

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	System Id. SYSTEM-1 Name. Fictional Northfield AI assistant Version. 1 Owner. Fictional AI system owner Provider. Fictional model provider Organization role. Fictional customer-approved deployer role Jurisdiction. Customer-selected US preparation Framework. Fictional approved governance scheme Framework Version. Fictional operative framework 1 Approved framework source file ID. f1 Framework Locator. /frameworkVersion Scope Approved By. Fictional reported Scope Approved By Scope approval timestamp. 2026-01-02T12:00:00Z Intended Purpose. Summarize fictional customer evidence Actual Behavior. Assists a human by summarizing explicitly selected fictional source records. Affected groups. ["Fictional staff"] Deployment Contexts. ["Fictional browser workspace"] Lifecycle. Active Next Review At. 2027-01-01T12:00:00Z Population Mode. Declared complete population Declared system count. 1 Original source file ID. f2 Original JSON record pointer. /systems/0 Original source binding. Exact JSON recor [formal preview abridged]

Risks

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Risk Id. RISK-1 System Id. SYSTEM-1 Description. Fictional source-omission risk Affected groups. ["Fictional staff"] Owner. Fictional risk owner State. Prepared Original source file ID. f2 Original JSON record pointer. /risks/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-risks-RISK-1"]

Indicators

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Indicator Id. INDICATOR-1 System Id. SYSTEM-1 Risk Ids. ["RISK-1"] Description. Fictional reviewed source-omission proportion Unit. Percent Denominator. Fictional reviewed outputs Sampling Period. Fictional selected weekly review window Comparison. At least Threshold. 10 Cadence Days. 7 Owner. Fictional monitoring owner On Threshold. Fictional pause release and review source-selection controls. Escalation Owner. Fictional governance owner Method Version. Fictional denominator/method 1 Approved By. Fictional reported Approved By Approved At. 2026-10-08T15:00:00Z Original source file ID. f2 Original JSON record pointer. /indicators/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-indicators-INDICATOR-1"]

Observations

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Observation Id. OBS-1 System Id. SYSTEM-1 Indicator Id. INDICATOR-1 Value. 10 Unit. Percent Denominator. Fictional reviewed outputs Measured At. 2026-10-08T16:00:00Z Window From. 2026-10-01T12:00:00Z Window Through. 2026-10-08T12:00:00Z Method Version. Fictional denominator/method 1 Evidence Ref. Fictional selected exact observation evidence Original source file ID. f2 Original JSON record pointer. /observations/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-observations-OBS-1"]

Feedback Routes

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Route Id. ROUTE-1 System Id. SYSTEM-1 Audience. Fictional staff Channel. reviewer@example.com Owner. Fictional feedback owner Triage Hours. 24 Preservation Ref. Fictional retained feedback record Escalation Owner. Fictional governance owner Original source file ID. f2 Original JSON record pointer. /feedbackRoutes/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-feedbackRoutes-ROUTE-1"]

Change Triggers

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Trigger Id. TRIGGER-1 System Id. SYSTEM-1 Change Type. Model Review Action. Fictional re-review exact system scope and evidence before changed release. Owner. Fictional change owner Threshold. Any customer-reported material model change Approval Route. Fictional accountable governance approval Original source file ID. f2 Original JSON record pointer. /changeTriggers/0 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-changeTriggers-TRIGGER-1"]
2	Trigger Id. TRIGGER-2 System Id. SYSTEM-1 Change Type. Data Review Action. Fictional re-review exact system scope and evidence before changed release. Owner. Fictional change owner Threshold. Any customer-reported material data change Approval Route. Fictional accountable governance approval Original source file ID. f2 Original JSON record pointer. /changeTriggers/1 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-changeTriggers-TRIGGER-2"]
3	Trigger Id. TRIGGER-3 System Id. SYSTEM-1 Change Type. Purpose Review Action. Fictional re-review exact system scope and evidence before changed release. Owner. Fictional change owner Threshold. Any customer-reported material purpose change Approval Route. Fictional accountable governance approval Original source file ID. f2 Original JSON record pointer. /changeTriggers/2 Original source binding. Exact JSON record Supporting evidence IDs. ["PROOF-changeTriggers-TRIGGER-3"]

Decisions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Evidence

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Evidence Id. PROOF-systems-SYSTEM-1 System Id. SYSTEM-1 Record Type. systems Record Id. SYSTEM-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /systems/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
2	Evidence Id. PROOF-risks-RISK-1 System Id. SYSTEM-1 Record Type. risks Record Id. RISK-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /risks/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

ID	Facts
3	Evidence Id. PROOF-indicators-INDICATOR-1 System Id. SYSTEM-1 Record Type. indicators Record Id. INDICATOR-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /indicators/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
4	Evidence Id. PROOF-observations-OBS-1 System Id. SYSTEM-1 Record Type. observations Record Id. OBS-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /observations/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
5	Evidence Id. PROOF-feedbackRoutes-ROUTE-1 System Id. SYSTEM-1 Record Type. feedbackRoutes Record Id. ROUTE-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /feedbackRoutes/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
6	Evidence Id. PROOF-changeTriggers-TRIGGER-1 System Id. SYSTEM-1 Record Type. changeTriggers Record Id. TRIGGER-1 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /changeTriggers/0 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
7	Evidence Id. PROOF-changeTriggers-TRIGGER-2 System Id. SYSTEM-1 Record Type. changeTriggers Record Id. TRIGGER-2 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /changeTriggers/1 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z
8	Evidence Id. PROOF-changeTriggers-TRIGGER-3 System Id. SYSTEM-1 Record Type. changeTriggers Record Id. TRIGGER-3 File Id. f2 Source Sha256. 80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c Locator. /changeTriggers/2 Conclusion. Supports Reviewer. Fictional original-source reviewer Reviewed At. 2026-10-09T18:00:00Z

Actions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

Risk-linked monitoring, threshold and escalation plan

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
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ID	Result
INDICATOR-1	<p>Item. INDICATOR-1 System ID. SYSTEM-1 Risks. RISK-1 Approved threshold. At least 10 Comparable observations. OBS-1. Approved threshold crossed. Exact observed 10 Percent Draft text. Post-market monitoring plan. Fictional reviewed source-omission proportion</p> <p>Risks. RISK-1. Fictional source-omission risk. Affected groups. ["Fictional staff"]</p> <p>Indicator method. Fictional denominator/method 1. Unit. Percent. Denominator. Fictional reviewed outputs. Sampling period. Fictional selected weekly review window</p> <p>Approved threshold. At least 10</p> <p>Cadence. 7 customer-approved days. Owner. Fictional monitoring owner</p> <p>Threshold action. Fictional pause release and review source-selection controls.</p> <p>Escalation owner. Fictional governance owner</p> <p>Source-reported observations. OBS-1. Approved threshold crossed. Exact observed 10 Percent</p> <p>Feedback routes. Fictional staff. reviewer@example.com. Owner. Fictional feedback owner. Triage hours. 24. Preserve. Fictional retained feedback record. Escalate. Fictiona [formal preview abridged]</p>

Named AI governance draft sections

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
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ID	Result
INDICATOR-1	<p>Item. INDICATOR-1 System ID. SYSTEM-1 Title. Risk-linked monitoring, threshold and escalation plan Decision. Prepared for review Draft text. Post-market monitoring plan. Fictional reviewed source-omission proportion</p> <p>Risks. RISK-1. Fictional source-omission risk. Affected groups. ["Fictional staff"]</p> <p>Indicator method. Fictional denominator/method 1. Unit. Percent. Denominator. Fictional reviewed outputs. Sampling period. Fictional selected weekly review window</p> <p>Approved threshold. At least 10</p> <p>Cadence. 7 customer-approved days. Owner. Fictional monitoring owner</p> <p>Threshold action. Fictional pause release and review source-selection controls.</p> <p>Escalation owner. Fictional governance owner</p> <p>Source-reported observations. OBS-1. Approved threshold crossed. Exact observed 10 Percent</p> <p>Feedback routes. Fictional staff. reviewer@example.com. Owner. Fictional feedback owner. Triage hours. 24. Preserve. Fictional retained feedback record. Escalate. Fictional governance owner</p> <p>Material change revie [formal preview abridged]</p>

Accountable AI governance actions

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

AI governance draft. INDICATOR-1. Prepared for review

Complete source-supported draft text appears below and remains in Excel and JSON.

Post-market monitoring plan. Fictional reviewed source-omission proportion

Risks. RISK-1. Fictional source-omission risk. Affected groups. ["Fictional staff"]

Indicator method. Fictional denominator/method 1. Unit. Percent. Denominator. Fictional reviewed outputs. Sampling period. Fictional selected weekly review window

Approved threshold. At least 10

Cadence. 7 customer-approved days. Owner. Fictional monitoring owner

Threshold action. Fictional pause release and review source-selection controls.

Escalation owner. Fictional governance owner

Source-reported observations. OBS-1. Approved threshold crossed. Exact observed 10 Percent

Feedback routes. Fictional staff. reviewer@example.com. Owner. Fictional feedback owner. Triage hours. 24. Preserve. Fictional retained feedback record. Escalate. Fictional governance owner

Material change review. Model. Threshold. Any customer-reported material model change. Action. Fictional re-review exact system scope and evidence before changed release.. Owner. Fictional change owner. Approval. Fictional accountable governance approval

Data. Threshold. Any customer-reported material data change. Action. Fictional re-review exact system scope and evidence before changed release.. Owner. Fictional change owner. Approval. Fictional accountable governance approval

Purpose. Threshold. Any customer-reported material purpose change. Action. Fictional re-review exact system scope and evidence before changed release.. Owner. Fictional change owner. Approval. Fictional accountable governance approval

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Review findings

1 actual system scopes. 1 named outputs. 0 unresolved findings.

The first 100 findings and 1000 characters per displayed field appear here. Complete findings remain in the data exports.

Kind	ID	Result	Finding
Original systems source	SYSTEM-1	Matched	Every exact task fact matches the original bytes.
Original risks source	RISK-1	Matched	Every exact task fact matches the original bytes.
Original indicators source	INDICATOR-1	Matched	Every exact task fact matches the original bytes.
Original observations source	OBS-1	Matched	Every exact task fact matches the original bytes.
Original feedbackRoutes source	ROUTE-1	Matched	Every exact task fact matches the original bytes.
Original changeTriggers source	TRIGGER-1	Matched	Every exact task fact matches the original bytes.
Original changeTriggers source	TRIGGER-2	Matched	Every exact task fact matches the original bytes.
Original changeTriggers source	TRIGGER-3	Matched	Every exact task fact matches the original bytes.

Selected exact attachments

ID	Filename	Bytes	SHA-256
f1	fictional-approved-ai-governance-rules.json	148	1f8958ad149556a61f28cef068f35fdee62fa1412604839158f59e90fde605c9
f2	fictional-original-ai-governance-records.json	3744	80947623c7e90bd96ca5fe2f39c91292520abab3bee44eed19b8f23fff0e502c

Unresolved items and limitations

The first 100 unresolved items appear here, with 1000 characters per preview. Complete findings remain in Excel and JSON.

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Limitations

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Official sources

Source	Provision	Date	Link
NIST: AI Risk Management Framework 1.0	The voluntary AI RMF organizes governance, context mapping, measurement and management. MAP 3.4 and MAP 3.5 address operator proficiency and human oversight. Governed system identity, source evidence, affected groups, measured outcomes and accountable actions support this preparation structure. It does not prescribe an operative legal classification or assure a deployment.. Connect every declared risk to indicators with exact denominators, units, sampling periods, thresholds, responsible actions and escalation. Compare supplied observations with approved numeric thresholds. Require feedback and material-change routes. Draft the complete monitoring plan without operating recurring monitoring or inferring reportability. Actual legal applicability, source completeness and real-world effectiveness remain separately approved decisions.	October 9, 2026	https://nvlpubs.nist.gov/nistpubs/ai/NIST.AI.100-1.pdf