COMPLIANCE WORKING RECORD

Assurance coverage map

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Organization	Fictional Example Company
Document type	Assurance coverage map
Document reference	29-assurance-coverage-map
Jurisdiction	United States
Version	2026-10-06.3
Status	Working result has unresolved items
Prepared by	Fictional responsible reviewer
Prepared on	October 6, 2026
Sources reviewed	October 6, 2026
Generated	October 6, 2026

Frozen review scope

Field	Value
Tool identifier	29-assurance-coverage-map
Method revision	2026-10-06.3
Evidence window	January 1, 2026
Review date	October 6, 2026
Reviewer	Fictional responsible reviewer

Purpose and boundary

Map approved risks or controls to completed assurance activities. Identify scope gaps, adverse findings, evidence age and unconfirmed independence.

The map reviews supplied assurance records. It does not issue an assurance opinion, assess reviewer competence or authenticate independence. Record each required method as a separate requirement when multiple methods are needed.

Review scope

Fictional requirements and records. Requires tailoring.

Result

1 of 3 approved included requirements have only supported recorded mappings.

- The map reviews supplied assurance records. It does not issue an assurance opinion, assess reviewer competence or authenticate independence. Record each required method as a separate requirement when multiple methods are needed.

- Identifiers trim surrounding spaces and ignore letter case. Required methods must match exactly after that normalization. Multiple methods need separate requirements.

- Every mapping is assessed separately. An adverse or unresolved mapping keeps the requirement open even when another mapping is supported.

Measure	Value
Approved included requirements	3
Supported recorded requirements	1
Requirements needing review	2
Source issues and exclusions	0

Approved requirements

The report includes the first 100 requirements and at most 400 characters per cell. Excel and JSON preserve every source. CSV preserves every requirement.

ID	Method	Result	Finding
EXAMPLE-R01	Test	Supported mappings recorded	EXAMPLE-M01. A full-scope mapping has a matching method, completed activity, acceptable recorded outcome and dated evidence. Source authenticity and adequacy require review.

ID	Method	Result	Finding
EXAMPLE-R02	Test	Missing mapping	No mapping cites this approved requirement. A source population match is not inferred.
EXAMPLE-R03	Test	Mappings need review	EXAMPLE-M02. The recorded outcome is Unsatisfactory.

Mapping assessments

The first 100 mapping assessments are summarized here. Excel and JSON preserve every assessment.

Requirement	Mapping	Activity	Result
EXAMPLE-R01	EXAMPLE-M01	EXAMPLE-A01	Supported mapping
EXAMPLE-R03	EXAMPLE-M02	EXAMPLE-A02	Adverse result

Requirement	Finding
EXAMPLE-R01	A full-scope mapping has a matching method, completed activity, acceptable recorded outcome and dated evidence. Source authenticity and adequacy require review.
EXAMPLE-R03	The recorded outcome is Unsatisfactory.

Source issues and exclusions

The report includes the first 100 source issues. The complete issue list is preserved in Excel and JSON.

Responsible review

- EXAMPLE-R02. Missing mapping.

- EXAMPLE-R03. Mappings need review.

Assumptions

- Fictional requirements and records. Requires tailoring.

Limitations

- The map reviews supplied assurance records. It does not issue an assurance opinion, assess reviewer competence or authenticate independence. Record each required method as a separate requirement when multiple methods are needed.

Official sources

Source	Provision	Date	Link
National Institute of Standards and Technology: NIST SP 800-53A Revision 5 assessment methodology	Assurance coverage map. A methodological reference. Customer-approved requirements and recorded evidence determine the map. No mandatory scope or adequacy conclusion is inferred.	October 6, 2026	https://csrc.nist.gov/pubs/sp/800/53/a/r5/final
Federal Financial Institutions Examination Council: FFIEC BSA/AML Manual, Independent Testing	Assurance coverage map. US bank BSA/AML context only. Independence is a supplied declaration. No reviewer qualification, organizational applicability or assurance opinion is established.	October 6, 2026	https://bsaaml.ffiec.gov/manual/AssessingTheBSAAMLCompliance Program/03