

COMPLIANCE WORKING RECORD

AI governance working record

Fictional sample. Requires tailoring. No compliance assurance.

Document control

Field	Value
Document type	Administrative evidence pack
Jurisdiction	United States
Version	2026-09-03.1
Status	Fictional sample. Requires tailoring.
Prepared on	September 3, 2026
Generated	September 3, 2026

Document control and scope

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

This pack freezes the populated modules from one workspace snapshot. Keep the JSON backup and downloaded ZIP securely.

Field	Value
Workspace	AI governance
Catalog version	2026-09-03.1
Prepared	2026-09-03T12:00:00.000Z
Record status	Fictional sample. Requires tailoring.
Unused modules	All modules populated. This does not prove completeness or compliance.

AI inventory

1 customer-reported record. No independent verification.

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

Fictional ai inventory

Field	Value
Record title	Fictional ai inventory
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
AI system	Fictional ai system
Provider	Fictional provider
Where it is used	Fictional where it is used
Intended use	Fictional intended use
Information and data used	Fictional information and data used

Approved-tool register

1 customer-reported record. No independent verification.

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

Actions are reported by the customer. This record does not authenticate participation, consent, approval or a signature.

Fictional approved-tool register

Field	Value
Record title	Fictional approved-tool register
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
Reported actor	Example reviewer
Reported action date	September 3, 2026
Reported action	Acknowledged
Evidence and reporting basis	Customer-reported fictional action
AI system	Fictional ai system
Reported permitted uses and restrictions	Fictional reported permitted uses and restrictions

Use-case intake form

1 customer-reported record. No independent verification.

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Fictional use-case intake form

Field	Value
Record title	Fictional use-case intake form
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10

Field	Value
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
Proposed use	Fictional proposed use
People affected	Fictional people affected
Customer-identified risks	Fictional customer-identified risks

Human-review log

1 customer-reported record. No independent verification.

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

Actions are reported by the customer. This record does not authenticate participation, consent, approval or a signature.

Fictional human-review log

Field	Value
Record title	Fictional human-review log
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
Reported actor	Example reviewer
Reported action date	September 3, 2026
Reported action	Acknowledged
Evidence and reporting basis	Customer-reported fictional action

Field	Value
AI system	Fictional ai system
Output reference	Fictional output reference
Reported review result	Fictional reported review result

Evaluation record

1 customer-reported record. No independent verification.

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Fictional evaluation record

Field	Value
Record title	Fictional evaluation record
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
System and version	Fictional system and version
Evaluation method	Fictional evaluation method
Customer-defined criteria	Fictional customer-defined criteria
Observed results and limitations	Fictional observed results and limitations

AI incident register

1 customer-reported record. No independent verification.

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

Fictional ai incident register

Field	Value
Record title	Fictional ai incident register
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
Event date	September 3, 2026
Event description	Fictional event description
AI system	Fictional ai system
Reported impact	Fictional reported impact
Response	Fictional response

Result 1

Field	Value
Date	September 3, 2026
Event	Fictional event description
Record	Fictional ai incident register
Evidence	EXAMPLE-001, fictional reference
Owner	Example operations lead

Change log

Fictional change log. 4 added or removed lines. Text comparison only, not a legal interpretation.

Administrative records only. Entries do not determine legal applicability, mandatory retention, statutory deadlines or compliance.

Fictional change log

Field	Value
Record title	Fictional change log
Owner	Example operations lead
Status	Open
Customer-defined review month	2026-10
Evidence notes	EXAMPLE-001, fictional reference
Notes and uncertainty	Fictional sample. Requires tailoring and customer review.
Earlier text or table	Version 1 Review each quarter
Later text or table	Version 2 Review each month
System and version	Fictional system and version

Result 1

Field	Value
Record	Fictional change log
Change	Added
Earlier line	
Later line	1
Text	Version 2

Result 2

Field	Value
Record	Fictional change log
Change	Added
Earlier line	
Later line	2
Text	Review each month

Result 3

Field	Value
Record	Fictional change log
Change	Removed
Earlier line	1
Later line	
Text	Version 1

Result 4

Field	Value
Record	Fictional change log
Change	Removed
Earlier line	2
Later line	
Text	Review each quarter