COMPLIANCE WORKING RECORD

EDD dossier assembler

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.financial-evidence.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional responsible reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Assemble a sectioned due-diligence dossier with complete explanations, original evidence links, contradictions and unresolved questions.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional customer or subject
Responsible reviewer	Fictional responsible reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional bounded evidence case. Replace every source, policy and fact before use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Match each customer-approved EDD question to every supplied answer. Retain exact explanations, corroboration declarations and reviewers. Flag required missing answers, duplicate responses, unsupported explanations and contradictions. Preserve orphan answers without treating them as complete questionnaire coverage.

Customer-selected questions determine the dossier scope. The tool does not infer risk rating, lawful wealth, sufficiency or a duty to conduct enhanced due diligence.

Original evidence bytes, annotations and customer rules remain in this browser unless explicitly approved for paid cloud saving. Customer verification and approval are declarations. Mechanical results do not authenticate source facts, decide legal applicability, clear screening hits, authorize transactions, classify exports, file reports or establish total wealth.

Only the supplied population is evaluated. Source annotations do not prove completeness, authenticity, reviewer authority or external screening. Missing and conflicting evidence remains visible.

Every accepted finding and original source reference is retained. Supplied text is not silently shortened. Original files are copied only into a local full backup or an explicitly approved artifact operation.

Complete result counts

Measure	Value
Original file descriptors	1
Evidence source references	1
Complete findings	5
Findings needing review	1

1. Original evidence index (S1)

State. Customer evidence linked

Exact source descriptor and customer-declared locator retained. No content authentication.

Field	Value
File	F1
Locator	Fictional document paragraphs 1 to 3
Description	Fictional policy and evidence source only.
Custodian	Fictional custodian
Source date	October 1, 2026
Customer verification	Customer verified
Verified by	Fictional reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Exact local SHA-256 descriptor. Recovered descriptors require matching original bytes for a complete attachment export.

Field	Value
Filename	FICTIONAL-evidence.txt
Media type	text/plain
Bytes	255
SHA-256	f915adc44353033ee611dbc67d8e24d6d2fcfa10da40688 f5b9d0a3cb2e20a4a
Exact evidence source references	None supplied

3. Frozen customer policy (POLICY-FICTIONAL)

State. Policy linked

Customer-selected policy, version and approval are retained. Applicability and authority require human review.

Field	Value
Version	fictional-v1
Approved by	Fictional policy owner
Approved on	October 2, 2026
Exact evidence source references	S1

4. EDD Business activity (Q1)

State. Explanation documented

Customer-declared corroboration and the complete explanation are preserved. No EDD sufficiency decision.

Field	Value
Question	Explain the fictional customer business.
Explanation	Fictional declared consulting income. Requires tailoring.
Corroboration	Supported
Reviewer	Fictional reviewer
Exact evidence source references	S1, S1

5. EDD Unresolved source (Q2)

State. Contradicted

Explanation remains contradicted or uncorroborated.

Field	Value
Question	Corroborate the fictional source of wealth.
Explanation	Fictional explanation conflicts with the supplied annotation.

Field	Value
Corroboration	Contradicted
Reviewer	Fictional reviewer
Exact evidence source references	S1, S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
questions 1. reference	Q1
questions 1. section	Business activity
questions 1. prompt	Explain the fictional customer business.
questions 1. required	Yes
questions 1. sourceIds	S1
questions 2. reference	Q2
questions 2. section	Unresolved source
questions 2. prompt	Corroborate the fictional source of wealth.
questions 2. required	Yes
questions 2. sourceIds	S1
answers 1. questionReference	Q1
answers 1. explanation	Fictional declared consulting income. Requires tailoring.
answers 1. corroboration	Supported
answers 1. reviewedBy	Fictional reviewer
answers 1. sourceIds	S1
answers 2. questionReference	Q2

Field	Value
answers 2. explanation	Fictional explanation conflicts with the supplied annotation.
answers 2. corroboration	Contradicted
answers 2. reviewedBy	Fictional reviewer
answers 2. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Federal Financial Institutions Examination Council: Customer Due Diligence	Bank examination guidance describes risk-based customer information, source-of-funds and wealth review, documentation and unresolved information. It does not establish applicability to every customer or organization.	October 9, 2026	https://bsaaml.ffiec.gov/manual/AssessingComplianceWithBSARegulatoryRequirements/02