COMPLIANCE WORKING RECORD

False-positive review workpaper

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.financial-evidence.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional responsible reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Prepare an original-source identifier comparison and proposed human disposition with a complete rationale and unresolved conflicts.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional customer or subject
Responsible reviewer	Fictional responsible reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional bounded evidence case. Replace every source, policy and fact before use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Retain all matching and conflicting identifiers alongside a distinct customer-proposed disposition. Require a named reviewer, rationale, one unambiguous proposal and source-linked conflicting evidence for a proposed false positive. Never clear a hit or hide matching attributes merely because a conflict exists.

Customer-proposed decisions remain declarations. The tool does not authenticate identifiers, decide identity or confirm that a sanctions hit is false.

Original evidence bytes, annotations and customer rules remain in this browser unless explicitly approved for paid cloud saving. Customer verification and approval are declarations. Mechanical results do not authenticate source facts, decide legal applicability, clear screening hits, authorize transactions, classify exports, file reports or establish total wealth.

Only the supplied population is evaluated. Source annotations do not prove completeness, authenticity, reviewer authority or external screening. Missing and conflicting evidence remains visible.

Every accepted finding and original source reference is retained. Supplied text is not silently shortened. Original files are copied only into a local full backup or an explicitly approved artifact operation.

Complete result counts

Measure	Value
Original file descriptors	1
Evidence source references	1
Complete findings	7
Findings needing review	0

1. Original evidence index (S1)

State. Customer evidence linked

Exact source descriptor and customer-declared locator retained. No content authentication.

Field	Value
File	F1
Locator	Fictional document paragraphs 1 to 3
Description	Fictional policy and evidence source only.
Custodian	Fictional custodian
Source date	October 1, 2026
Customer verification	Customer verified
Verified by	Fictional reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Exact local SHA-256 descriptor. Recovered descriptors require matching original bytes for a complete attachment export.

Field	Value
Filename	FICTIONAL-evidence.txt
Media type	text/plain
Bytes	255
SHA-256	f915adc44353033ee611dbc67d8e24d6d2fcfa10da40688 f5b9d0a3cb2e20a4a
Exact evidence source references	None supplied

3. Frozen customer policy (POLICY-FICTIONAL)

State. Policy linked

Customer-selected policy, version and approval are retained. Applicability and authority require human review.

Field	Value
Version	fictional-v1
Approved by	Fictional policy owner
Approved on	October 2, 2026
Exact evidence source references	S1

4. Screening identifier comparison (H1 / Name)

State. Matching

Exact text comparison only. Different text does not prove different identity.

Field	Value
Customer value	Fictional Alex
List value	Fictional Alex
Comparison method	Exact text
Customer assessment	Matching
Reason	Fictional example only.
Exact evidence source references	S1

5. Screening identifier comparison (H1 / Birth date)

State. Conflicting

Exact text comparison only. Different text does not prove different identity.

Field	Value
Customer value	January 1, 1980
List value	January 1, 1970

Field	Value
Comparison method	Exact text
Customer assessment	Conflicting
Reason	Different text is not authenticated identity evidence.
Exact evidence source references	S1

6. Frozen screening hit (H1)

State. Evidence assembled

Underlying supplied hit and all identifiers retained. No new screening was performed and no match was cleared.

Field	Value
Subject	SUBJECT-FICTIONAL
List entry	FICTIONAL-LIST-ENTRY
Provider	Fictional previously performed screening
List version	fictional-v1
Screened on	October 1, 2026
Matching identifiers	1
Conflicting identifiers	1
Unresolved identifiers	0
Exact evidence source references	S1

7. Human disposition review (H1)

State. Customer proposal documented

Proposed disposition remains a human decision. The tool neither clears the hit nor authorizes a transaction.

Field	Value
Proposed	False positive

Field	Value
Reviewer	Fictional reviewer
Rationale	Fictional proposed disposition. Human must authenticate the conflicting identifier and review all similarities.
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
hits 1. reference	H1
hits 1. subjectReference	SUBJECT-FICTIONAL
hits 1. listEntryReference	FICTIONAL-LIST-ENTRY
hits 1. provider	Fictional previously performed screening
hits 1. listVersion	fictional-v1
hits 1. screenedOn	October 1, 2026
hits 1. sourceIds	S1
identifiers 1. hitReference	H1
identifiers 1. attribute	Name
identifiers 1. customerValue	Fictional Alex
identifiers 1. listValue	Fictional Alex
identifiers 1. comparison	Exact text
identifiers 1. assessment	Matching
identifiers 1. reason	Fictional example only.
identifiers 1. sourceIds	S1
identifiers 2. hitReference	H1

Field	Value
identifiers 2. attribute	Birth date
identifiers 2. customerValue	January 1, 1980
identifiers 2. listValue	January 1, 1970
identifiers 2. comparison	Exact text
identifiers 2. assessment	Conflicting
identifiers 2. reason	Different text is not authenticated identity evidence.
identifiers 2. sourceIds	S1
dispositions 1. hitReference	H1
dispositions 1. proposed	False positive
dispositions 1. reviewer	Fictional reviewer
dispositions 1. rationale	Fictional proposed disposition. Human must authenticate the conflicting identifier and review all similarities.
dispositions 1. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Office of Foreign Assets Control: OFAC FAQ 5. Assessing potential name matches	September 9, 2026 guidance addresses complete list-entry and identifier review under organization policies. Supplied records are not live screening or an OFAC confirmation of a match or false positive.	October 9, 2026	https://ofac.treasury.gov/faqs/5