

COMPLIANCE WORKING RECORD

AI incident reporting preparation

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Document type	AI incident reporting preparation
Document reference	FICTIONAL-INCIDENT-1
Jurisdiction	Customer-selected EU exercise scope
Version	1
Status	Named incident preparation pack prepared
Prepared by	Fictional responsible incident reviewer
Prepared on	2026-10-09T18:00:00Z
Rule pack	2026-10-09.incident-preparation.1
Generated	October 9, 2026

Purpose and boundary

Prepare an AI incident dossier linking actual system versions, reported harms and approved reporting duties.

Bind each reported harm to the exact AI system, provider or deployer role and source-linked incident event. Reconcile customer-selected operative criteria, report stages, fields, recipients and submissions. Preserve disputed harm and causation. Produce complete stage drafts without classifying legal seriousness or filing with an authority.

This working pack organizes customer-supplied incident facts, original evidence, approved rule versions and recorded decisions. It does not decide legal applicability, notification duties, statutory deadlines, insurance coverage, AI seriousness, product exploitation, authenticity or sanctions clearance. No report, message, authority filing or payment is sent. Original files remain unchanged and browser-local unless explicitly saved through paid private cloud saving.

Approved scope and incident preparation method

Fictional exercise only. Two confirmed supplied events and one selected approved duty where applicable. Replace every fact, rule and source before use.

Scope approval. FICTIONAL-SCOPE-1

Approved method. Fictional approved preparation procedure 1. Source. f1. Locator. /methodVersion. Approver. Fictional authorized procedure approver. Approval date. 2026-01-01T12:00:00Z

Population statement. Declared complete population. Customer-declared count. 2

AI system incident and reporting stage dossier event inputs

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Event ID. E1 Incident ID. FICTIONAL-INCIDENT-A Kind. Awareness Description. Fictional reviewer confirmed awareness of the selected incident. Event At. 2026-10-08T10:00:00-04:00 End At. Precision. Instant Certainty. Customer-confirmed Sequence After. [] Recorded At. 2026-10-08T14:05:00Z Reported By. Fictional incident observer Confirmed By. Fictional incident owner Source File ID. f2 Source Pointer. /events/0 Source Mode. Exact JSON record
2	Event ID. E2 Incident ID. FICTIONAL-INCIDENT-A Kind. Containment Description. Fictional selected system was isolated within this exercise scope. Event At. 2026-10-08T15:00:00Z End At. Precision. Instant Certainty. Customer-confirmed Sequence After. ["E1"] Recorded At. 2026-10-08T15:05:00Z Reported By. Fictional response operator Confirmed By. Fictional incident owner Source File ID. f2 Source Pointer. /events/1 Source Mode. Exact JSON record

Ai Systems

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	System ID. SYS1 System Version. Fictional model version 1 Provider. Fictional Northfield Deployer. Fictional exercise deployer Reported Role. Provider Intended Purpose. Fictional selected exercise classification system Source File ID. f3 Source Pointer. /aiSystems/0 Source Mode. Exact JSON record Evidence IDs. ["PROOF-aiSystems-0"]

Harms

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Harm ID. H1 System ID. SYS1 Affected Person reference. FICTIONAL-PERSON-1 Kind. Customer-declared exercise harm Description. Fictional customer confirmed the selected harm record for this exercise. Event ID. E1 State. Confirmed by customer Cause Assessment. Established by customer Scope. Fictional selected exercise person and system only Source File ID. f3 Source Pointer. /harms/0 Source Mode. Exact JSON record Evidence IDs. ["PROOF-harms-0"]

Duties

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Duty ID. D1 Incident ID. FICTIONAL-INCIDENT-A Instrument. Fictional approved incident reporting criteria Provision. Fictional exercise reporting stage 1 Rule Version. Fictional approved preparation procedure 1 Jurisdiction. Customer-selected EU exercise scope Entity Role. Provider Recipient. Fictional selected reporting recipient Stage. Initial working report Trigger Event ID. E1 Applicability. Applies Basis. Fictional customer-approved exercise assumption. No legal applicability conclusion. Approved By. Fictional authorized procedure approver Approved At. 2026-01-01T12:00:00Z Clock Mode. Elapsed hours Interval. 48 Explicit Due At. Trigger Date. Trigger Calendar Offset. Due Time. Due Offset. Calendar Basis. Business Weekdays. Excluded Dates. [] Count Start. Roll. None Predecessor Duty ID. Predecessor State. Supersedes ID. Report Field IDs. ["RF1"] Policy ID. System ID. SYS1 Product ID. Source File ID. f3 Source Pointer. /duties/0 Source Mode. Exact JSON record Evidence IDs [formal preview abridged]

Submissions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Submission ID. S1 Duty ID. D1 Recipient. Fictional selected reporting recipient Stage. Initial working report Rule Version. Fictional approved preparation procedure 1 State. Prepared Prepared At. 2026-10-08T16:00:00Z Dispatched At. Acknowledged At. Receipt reference. Actor. Fictional reporting preparer Source File ID. f3 Source Pointer. /submissions/0 Source Mode. Exact JSON record Evidence IDs. ["PROOF-submissions-0"]

Report Fields

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Field ID. RF1 Duty ID. D1 Label. Fictional confirmed incident facts Value. Fictional incident awareness and containment were confirmed for the exact selected exercise scope. Event IDs. ["E1","E2"] Source File ID. f3 Source Pointer. /reportFields/0 Source Mode. Exact JSON record Evidence IDs. ["PROOF-reportFields-0"]

Evidence

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

ID	Facts
1	Evidence ID. PROOF-events-0 Record Type. events Record ID. E1 File ID. f2 Source Sha256. 34df952c0e7c3b900ee12de92fdf6eb648082b250940bf5ad464a36fcdf7c931 Locator. /events/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z
2	Evidence ID. PROOF-events-1 Record Type. events Record ID. E2 File ID. f2 Source Sha256. 34df952c0e7c3b900ee12de92fdf6eb648082b250940bf5ad464a36fcdf7c931 Locator. /events/1 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z
3	Evidence ID. PROOF-aiSystems-0 Record Type. aiSystems Record ID. SYS1 File ID. f3 Source Sha256. d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479 Locator. /aiSystems/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z
4	Evidence ID. PROOF-harms-0 Record Type. harms Record ID. H1 File ID. f3 Source Sha256. d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479 Locator. /harms/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z

ID	Facts
5	Evidence ID. PROOF-duties-0 Record Type. duties Record ID. D1 File ID. f3 Source Sha256. d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479 Locator. /duties/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z
6	Evidence ID. PROOF-submissions-0 Record Type. submissions Record ID. S1 File ID. f3 Source Sha256. d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479 Locator. /submissions/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z
7	Evidence ID. PROOF-reportFields-0 Record Type. reportFields Record ID. RF1 File ID. f3 Source Sha256. d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479 Locator. /reportFields/0 Conclusion. Supports Reviewer. Fictional responsible source reviewer Reviewed At. 2026-10-09T17:00:00Z

Actions

The first 20 exact task rows appear here. Displayed values are bounded to 1000 characters. Complete typed rows and exact values remain in Excel and JSON.

AI system incident and reporting stage dossier

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
	Event ID. E1 Kind. Awareness Description. Fictional reviewer confirmed awareness of the selected incident. Original timestamp. 2026-10-08T10:00:00-04:00 Original interval end. UTC earliest. 2026-10-08T14:00:00Z UTC latest. 2026-10-08T14:00:00Z Elapsed span seconds. 0 Certainty. Customer-confirmed Source pointer. /events/0 Result. Normalized supplied interval
	Event ID. E2 Kind. Containment Description. Fictional selected system was isolated within this exercise scope. Original timestamp. 2026-10-08T15:00:00Z Original interval end. UTC earliest. 2026-10-08T15:00:00Z UTC latest. 2026-10-08T15:00:00Z Elapsed span seconds. 0 Certainty. Customer-confirmed Source pointer. /events/1 Result. Normalized supplied interval

Approved recipient and reporting stage matrix

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
	Duty ID. D1 Instrument. Fictional approved incident reporting criteria Provision. Fictional exercise reporting stage 1 Rule version. Fictional approved preparation procedure 1 Jurisdiction. Customer-selected EU exercise scope Entity role. Provider Recipient. Fictional selected reporting recipient Stage. Initial working report Applicability. Applies Trigger event ID. E1 Earliest cutoff. 2026-10-10T14:00:00Z Latest cutoff. 2026-10-10T14:00:00Z Assumptions. Elapsed hours after the supplied trigger interval. Exact elapsed units 48. Submission IDs. ["S1"] Result. Prepared for review

Exact AI systems, reported harms and causation uncertainty

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result
	Harm ID. H1 System ID. SYS1 System version. Fictional model version 1 Entity role. Provider Affected person reference. FICTIONAL-PERSON-1 Kind. Customer-declared exercise harm Description. Fictional customer confirmed the selected harm record for this exercise. Event ID. E1 Scope. Fictional selected exercise person and system only Reported cause. Established by customer Result. Source-linked AI harm record prepared

Owned incident response actions and dependencies

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

Named incident preparation draft sections

The first 20 prepared output rows appear here. Complete rows remain in Excel and JSON.

ID	Result

ID	Result
D1	<div>Item. D1 Decision. Prepared for review Draft text. Working report draft. Fictional selected reporting recipient. Initial working report</div> <div>Incident. FICTIONAL-INCIDENT-A. Organization. Fictional Northfield</div> <div>Operative customer-selected source. Fictional approved incident reporting criteria Fictional exercise reporting stage 1 Fictional approved preparation procedure 1. Jurisdiction. Customer-selected EU exercise scope. Role. Provider</div> <div>Fictional confirmed incident facts. Fictional incident awareness and containment were confirmed for the exact selected exercise scope.</div> <div>Approved trigger. E1. Elapsed hours after the supplied trigger interval. Exact elapsed units 48.</div> <div>This working pack organizes customer-supplied incident facts, original evidence, approved rule versions and recorded decisions. It does not decide legal applicability, notification duties, statutory deadlines, insurance coverage, AI seriousness, product exploitation, authenticity or sanctions clearance. No report, message, autho [formal preview abridged]</div>

Incident preparation draft. D1. Prepared for review

Complete exact draft text remains in Excel and JSON.

Working report draft. Fictional selected reporting recipient. Initial working report

Incident. FICTIONAL-INCIDENT-A. Organization. Fictional Northfield

Operative customer-selected source. Fictional approved incident reporting criteria Fictional exercise reporting stage 1 Fictional approved preparation procedure 1. Jurisdiction. Customer-selected EU exercise scope. Role. Provider

Fictional confirmed incident facts. Fictional incident awareness and containment were confirmed for the exact selected exercise scope.

Approved trigger. E1. Elapsed hours after the supplied trigger interval. Exact elapsed units 48.

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Review findings

2 declared events. 1 exact approved duties. 0 unresolved findings.

The first 100 findings and 1000 characters per displayed field appear here. Complete findings remain in the data exports.

Kind	ID	Result	Finding
Original events source	E1	Matched	Every declared named fact matches the exact original JSON record.

Kind	ID	Result	Finding
Original events source	E2	Matched	Every declared named fact matches the exact original JSON record.
Original aiSystems source	SYS1	Matched	Every declared named fact matches the exact original JSON record.
Original harms source	H1	Matched	Every declared named fact matches the exact original JSON record.
Original duties source	D1	Matched	Every declared named fact matches the exact original JSON record.
Original submissions source	S1	Matched	Every declared named fact matches the exact original JSON record.
Original reportFields source	RF1	Matched	Every declared named fact matches the exact original JSON record.

Selected exact attachments

ID	Filename	Bytes	SHA-256
f1	fictional-approved-incident-procedure.json	264	cdc9180c17847fe0a77a157d594453fcf569c391061b829a01eb03aea00acb45
f2	fictional-original-incident-events.json	986	34df952c0e7c3b900ee12de92fdf6eb648082b250940bf5ad464a36fcdf7c931
f3	fictional-original-incident-task-records.json	2866	d5ec1151292f30aaafc4b62d2e82fbda8f8135f29e7c49157fce884127e88479

Unresolved items and limitations

The first 100 unresolved items appear here, with 1000 characters per preview. Complete findings remain in Excel and JSON.

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Limitations

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Official sources

Source	Provision	Date	Link
European Union: Regulation (EU) 2024/1689. Artificial Intelligence Act	Article 73, reporting of serious incidents, read with the customer-selected operative consolidated version, covered system, entity role and applicability. Article and source context supports preparation only. Exact approved trigger, reporting stages, content and clock assumptions remain customer inputs. No automatic high-risk or serious-incident classification follows.. Bind each reported harm to the exact AI system, provider or deployer role and source-linked incident event. Reconcile customer-selected operative criteria, report stages, fields, recipients and submissions. Preserve disputed harm and causation. Produce complete stage drafts without classifying legal seriousness or filing with an authority. No automated applicability, filing, authenticity or legal approval follows.	October 9, 2026	https://eur-lex.europa.eu/eli/reg/2024/1689