

COMPLIANCE WORKING RECORD

KYC remediation validation report

example-eu-kyc-register.csv. European Union rule pack kyc-remediation-2026-08-02.1. 2 source rows and 0 remaining review items.

Document control

Field	Value
Organisation	Example Rhein Services GmbH
Document type	KYC remediation validation report
Document reference	KYC-EU-2026-008
Jurisdiction	European Union
Version	kyc-remediation-2026-08-02.1
Status	Review recorded
Classification	Internal
Prepared by	Compliance Manager
Prepared on	7 August 2026
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Generated	21 August 2026

Run record

Field	Value
Organisation	Example Rhein Services GmbH
Reference	KYC-EU-2026-008
Reviewer	Compliance Manager
Review date	7 August 2026
Status	Review recorded
Jurisdiction	European Union

Field	Value
Worksheet	Sheet1
Rows	2
Columns	24
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Original input SHA-256	c278a08c00c6390d9203fb8b0cfbc93e0a2 ae2ded858c925bdf1763fe79c4203
Assessed working-data SHA-256	f6a4e5bbcf317ccc0d007d440eb448ead 5fc9fc700f25021f14840a8cec79e
Browser corrections	3
Unreviewed human items	0

Finding summary

Status	Count
Missing	0
Invalid	0
Inconsistent	0
Duplicate	0
Possible duplicate	0
Review	0

Assumptions

- The first worksheet named “Sheet1” was assessed.
- Dates were assessed only when supplied as ISO YYYY-MM-DD values.
- Risk, EDD, PEP, sanctions and authority fields were treated as user-supplied records, not facts verified by the tool.
- 3 browser-local corrections had been applied when this result was recorded.
- The assessed working-data SHA-256 identifies the exact row values checked after browser-local corrections.

Limitations

- The tool checks structure, blanks, dates, duplicates and configured cross-field consistency only.
- It does not verify identity, beneficial ownership, authority, PEP or sanctions status, source of funds, source of wealth, risk rating, EDD need or review frequency.
- A blank conditional field is reported for review only where another user-supplied value activates the configured check.
- Date checks require ISO YYYY-MM-DD values. Other source formats remain visible for correction.
- The EU route uses the Directive baseline. Member State implementing law, supervisory guidance and sector rules can add or change requirements and must be checked separately.

Official sources

Source	Provision	Date	Link
EUR-Lex: Directive (EU) 2015/849 on preventing use of the financial system for money laundering or terrorist financing	Articles 13, 18 and 40	31 July 2026	https://eur-lex.europa.eu/eli/dir/2015/849/oj/eng