COMPLIANCE WORKING RECORD

KYC remediation validation report

fixture-kyc.csv. United Kingdom rule pack kyc-remediation-2026-08-02.1. 2 source rows and 2 remaining review items.

Document control

Field	Value
Organisation	Fixture Accountancy Ltd
Document type	KYC remediation validation report
Document reference	KYC-REMEDIATION-001
Jurisdiction	United Kingdom
Version	kyc-remediation-2026-08-02.1
Status	Working remediation
Classification	Internal
Prepared by	Compliance Manager
Prepared on	2 August 2026
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Generated	7 August 2026

Run record

Field	Value
Organisation	Fixture Accountancy Ltd
Reference	KYC-REMEDIATION-001
Reviewer	Compliance Manager
Review date	2 August 2026
Status	Working remediation
Jurisdiction	United Kingdom

Field	Value
Worksheet	KYC
Rows	2
Columns	13
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Original input SHA-256	fixture-original
Assessed working-data SHA-256	fixture-assessed
Browser corrections	3
Unreviewed human items	2

Finding summary

Status	Count
Missing	0
Invalid	0
Inconsistent	0
Duplicate	0
Possible duplicate	0
Review	2

Review items

Status	Row	Customer ID	Field
Review	2	C-001	Beneficial owners
Review	2	C-001	Source of funds record

Status	Current value	Detail	Review outcome

Status	Current value	Detail	Review outcome
Review		A non-individual customer has no beneficial-owner detail or evidence reference. Confirm whether one is required and record the outcome.	Not reviewed
Review		EDD is marked required and neither a source-of-funds nor source-of-wealth record is referenced. Confirm which additional measures apply.	Not reviewed

Status	Review note
Review	Not supplied
Review	Not supplied

Assumptions

- Fixture assessment.

Limitations

- The tool checks structure, blanks, dates, duplicates and configured cross-field consistency only.

- It does not verify identity, beneficial ownership, authority, PEP or sanctions status, source of funds, source of wealth, risk rating, EDD need or review frequency.

- A blank conditional field is reported for review only where another user-supplied value activates the configured check.

- Date checks require ISO YYYY-MM-DD values. Other source formats remain visible for correction.

- The UK route uses the UK Money Laundering Regulations and HMRC supervised-business guidance. Sector supervisor rules can add requirements and must be checked separately.

Official sources

Source	Provision	Date	Link
UK Legislation: Money Laundering, Terrorist Financing and Transfer of Funds Regulations 2017	Regulations 18, 18A, 19, 21, 27 to 40 and 46	31 July 2026	https://www.legislation.gov.uk/uksi/2017/692/contents
UK Legislation: Money Laundering and Terrorist Financing (Amendment) Regulations 2026	SI 2026/621	31 July 2026	https://www.legislation.gov.uk/uksi/2026/621/contents/made
HM Revenue & Customs: Customer due diligence	Regulations 27 to 32	31 July 2026	https://www.gov.uk/hmrc-internal-manuals/anti-money-laundering-guidance-for-supervised-businesses/amlg11300
HM Revenue & Customs: Enhanced due diligence	Regulations 33 and 35	31 July 2026	https://www.gov.uk/hmrc-internal-manuals/anti-money-laundering-guidance-for-supervised-businesses/amlg11600
HM Revenue & Customs: Record keeping	Regulation 40	31 July 2026	https://www.gov.uk/hmrc-internal-manuals/anti-money-laundering-guidance-for-supervised-businesses/amlg11700