

COMPLIANCE WORKING RECORD

KYC remediation validation report

example-us-kyc-register.csv. United States rule pack kyc-remediation-2026-08-02.1. 2 source rows and 0 remaining review items.

Document control

Field	Value
Organisation	Example Pacific Services LLC
Document type	KYC remediation validation report
Document reference	KYC-US-2026-011
Jurisdiction	United States
Version	kyc-remediation-2026-08-02.1
Status	Review recorded
Classification	Internal
Prepared by	Compliance Manager
Prepared on	7 August 2026
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Generated	21 August 2026

Run record

Field	Value
Organisation	Example Pacific Services LLC
Reference	KYC-US-2026-011
Reviewer	Compliance Manager
Review date	7 August 2026

Field	Value
Status	Review recorded
Jurisdiction	United States
Worksheet	Sheet1
Rows	2
Columns	24
Rule pack	kyc-remediation-2026-08-02.1
Sources reviewed	31 July 2026
Original input SHA-256	e5705d917755ab78dce389641e2760bdc5 e805e031cfa75d99b6a9e1e53d52fa
Assessed working-data SHA-256	93533c83dc6f5f18753c9e250f69beef2eae 84046e3c925f1dce67fb643b64e4
Browser corrections	3
Unreviewed human items	0

Finding summary

Status	Count
Missing	0
Invalid	0
Inconsistent	0
Duplicate	0
Possible duplicate	0
Review	0

Assumptions

- The first worksheet named “Sheet1” was assessed.
- Dates were assessed only when supplied as ISO YYYY-MM-DD values.
- Risk, EDD, PEP, sanctions and authority fields were treated as user-supplied records, not facts verified by the tool.
- 3 browser-local corrections had been applied when this result was recorded.
- The assessed working-data SHA-256 identifies the exact row values checked after browser-local corrections.

Limitations

- The tool checks structure, blanks, dates, duplicates and configured cross-field consistency only.
- It does not verify identity, beneficial ownership, authority, PEP or sanctions status, source of funds, source of wealth, risk rating, EDD need or review frequency.
- A blank conditional field is reported for review only where another user-supplied value activates the configured check.
- Date checks require ISO YYYY-MM-DD values. Other source formats remain visible for correction.
- The US route is structural decision support for records held by covered financial institutions. It does not decide CDD Rule coverage or override FinCEN relief, exceptions or programme-specific rules.

Official sources

Source	Provision	Date	Link
Financial Crimes Enforcement Network: Information on complying with the Customer Due Diligence Final Rule	31 CFR 1010.230 and applicable programme rules	31 July 2026	https://www.fincen.gov/resources/statutes-and-regulations/cdd-financial-rule
Financial Crimes Enforcement Network: CDD Rule consolidated FAQs		31 July 2026	https://www.fincen.gov/resources/statutes-and-regulations/cdd-rule-faqs