

COMPLIANCE WORKING RECORD

Ownership chart generator

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.financial-control.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Draw a source-linked direct and indirect ownership chart with all declared nodes, exact direct percentages and focus-entity path fractions.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional customer-approved workflow. Replace every example before real use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Validate distinct nodes, directed relationships, allocation totals and acyclic ordering. Render the full graph as SVG with unresolved edges and incomplete nodes marked. Compute exact rational natural-person path totals through dynamic programming. Retain all full names, source links and isolated nodes.

Up to 100 nodes and 100 edges. Cyclic, trust, nominee and disputed interests require separate review. SVG labels use abbreviated visual names with complete names in accessible titles and the formal node table. A declared economic fraction is not OFAC blocking propagation.

All original evidence, customer rules and factual verification are customer declarations. File hashes establish retained byte identity only.

The tool does not perform live screening, authenticate identities, decide legal applicability, approve transactions, file reports or certify effective controls.

Prepared results preserve complete accepted records. Recovery does not restore payment, source authentication or fresh approval authority.

Complete result counts

Measure	Value
Graph nodes	3
Graph edges	2

Ownership chart and exact graph

The complete visual chart is included as ownership-chart.svg in the formal pack. All exact nodes, edges and fractions appear below. Dashed chart marks indicate unresolved sources or incomplete ownership.

Node	Name	Kind	Incomplete source or ownership
P1	Fictional person one	Person	No
E1	Fictional holding entity	Entity	No
E2	Fictional operating entity	Entity	Yes

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1
Locator	Fictional section one
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

The complete archive requires these exact original bytes.

Field	Value
Filename	FICTIONAL-control-evidence.txt
Media type	text/plain
Bytes	208
SHA-256	158b727cc608104a93cc176d0e3cd7b62a9d775a674e30 837ae8f7fb5bc5ceff
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. Ownership chart node (P1)

State. Customer snapshot linked

Exact declared node appears in the rendered chart. Isolated and unreported interests remain visible.

Field	Value
Name	Fictional person one
Kind	Person
Ownership declared complete	Yes

Field	Value
Listed direct total percent	0
Focus	No
Exact evidence source references	S1

5. Ownership chart node (E1)

State. Customer snapshot linked

Exact declared node appears in the rendered chart. Isolated and unreported interests remain visible.

Field	Value
Name	Fictional holding entity
Kind	Entity
Ownership declared complete	Yes
Listed direct total percent	100
Focus	No
Exact evidence source references	S1

6. Ownership chart node (E2)

State. Incomplete source or ownership

Exact declared node appears in the rendered chart. Isolated and unreported interests remain visible.

Field	Value
Name	Fictional operating entity
Kind	Entity
Ownership declared complete	No
Listed direct total percent	50
Focus	Yes
Exact evidence source references	S1

7. Direct ownership edge (EDGE1)

State. Customer snapshot linked

The exact directed source relationship and percentage appear in the chart. Dashed edges are unresolved.

Field	Value
Owner	P1
Entity	E1
Direct percent	100
Exact evidence source references	S1

8. Direct ownership edge (EDGE2)

State. Customer snapshot linked

The exact directed source relationship and percentage appear in the chart. Dashed edges are unresolved.

Field	Value
Owner	E1
Entity	E2
Direct percent	50
Exact evidence source references	S1

9. Indirect relationship total (P1)

State. Declared graph fraction

Exact economic path fraction in the supplied graph. Unverified edges remain declared and do not establish actual ownership or blocking.

Field	Value
Focus entity	E2
Exact ownership fraction	1/2
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
entities 1. id	P1
entities 1. name	Fictional person one
entities 1. kind	Person
entities 1. ownershipComplete	Yes
entities 1. sourceIds	S1
entities 2. id	E1
entities 2. name	Fictional holding entity
entities 2. kind	Entity
entities 2. ownershipComplete	Yes
entities 2. sourceIds	S1
entities 3. id	E2
entities 3. name	Fictional operating entity
entities 3. kind	Entity
entities 3. ownershipComplete	No
entities 3. sourceIds	S1
relationships 1. reference	EDGE1
relationships 1. ownerId	P1
relationships 1. entityId	E1
relationships 1. percent	100
relationships 1. verification	Customer verified
relationships 1. sourceIds	S1

Field	Value
relationships 2. reference	EDGE2
relationships 2. ownerId	E1
relationships 2. entityId	E2
relationships 2. percent	50
relationships 2. verification	Customer verified
relationships 2. sourceIds	S1
focusEntityId	E2

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Federal Financial Institutions Examination Council: Beneficial Ownership Requirements for Legal Entity Customers	Bank examination guidance distinguishes source verification, ownership and control information. A supplied chart does not authenticate relationships or determine legal beneficial ownership.	October 9, 2026	https://bsaaml.ffiec.gov/manual/AssessingComplianceWithBSARegulatoryRequirements/03