

COMPLIANCE WORKING RECORD

CTR preparation validator

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.financial-control.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Organize exact customer-selected currency transactions and supplied report fields for qualified CTR preparation review.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional customer-approved workflow. Replace every example before real use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Aggregate known cash in and cash out separately by exact person, business day and currency using integer arithmetic. Compare USD totals strictly above \$10,000 only after customer-confirmed covered scope and knowledge. Retain all conductors and branches. Check supplied critical values or explicit unknown declarations and report-part gaps.

The customer selects business days, knowledge-based persons, exemptions and full field scope. No cash-in/cash-out offsetting or currency conversion occurs. This is a preparation check, not complete FinCEN E-Filing technical validation, reporting advice or a filing.

All original evidence, customer rules and factual verification are customer declarations. File hashes establish retained byte identity only.

The tool does not perform live screening, authenticate identities, decide legal applicability, approve transactions, file reports or certify effective controls.

Prepared results preserve complete accepted records. Recovery does not restore payment, source authentication or fresh approval authority.

Complete result counts

Measure	Value
Aggregation groups	2

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1
Locator	Fictional section one
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

The complete archive requires these exact original bytes.

Field	Value
Filename	FICTIONAL-control-evidence.txt
Media type	text/plain
Bytes	208
SHA-256	158b727cc608104a93cc176d0e3cd7b62a9d775a674e30 837ae8f7fb5bc5ceff
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. CTR transaction aggregation (2026-10-02|P1|Cash in|USD)

State. Above \$10,000 working threshold

Sum cash in and cash out independently for the exact customer-selected person and business day. No offsetting, foreign exchange, exemption or filing decision.

Field	Value
Direction	Cash in
Business day	October 2, 2026
Selected aggregation person	P1
Exact total	10000.01
Currency	USD
Transaction count	2
Transactions	[{"reference":"T1","businessDay":"2026-10-02","aggregationPersonId":"P1","conductorId":"P1","accountReference":"FICTIONAL-ACCOUNT","branch":"Fictional branch one","direction":"Cash in","amount":"6000","currency":"USD","knowledgeConfirmed":"Yes","sourceIds":["S1"]}, {"reference":"T2","businessDay":"2026-10-02","aggregationPersonId":"P1","conductorId":"P2","accountReference":"FICTIONAL-ACCOUNT","branch":"Fictional branch two","direction":"Cash in","amount":"4000.01","currency":"USD","knowledgeConfirmed":"Yes","sourceIds":["S1"]}]
Exact evidence source references	S1

5. CTR transaction aggregation (2026-10-02|P1|Cash out|USD)

State. Not above working threshold

Sum cash in and cash out independently for the exact customer-selected person and business day. No offsetting, foreign exchange, exemption or filing decision.

Field	Value
Direction	Cash out
Business day	October 2, 2026
Selected aggregation person	P1
Exact total	500
Currency	USD
Transaction count	1
Transactions	[{"reference":"T3","businessDay":"2026-10-02","aggregationPersonId":"P1","conductorId":"P1","accountReference":"FICTIONAL-ACCOUNT","branch":"Fictional branch one","direction":"Cash out","amount":"500","currency":"USD","knowledgeConfirmed":"Yes","sourceIds":["S1"]}]]
Exact evidence source references	S1

6. CTR supplied field (FIELD1)

State. Documented

Critical values or explicit unknown declarations and supplied noncritical values are retained. This is not full E-Filing technical validation.

Field	Value
Part	I
Label	Fictional selected party name field
Critical	Yes
Value	Fictional party
Unknown	No
Exact evidence source references	S1

7. CTR supplied field (FIELD2)

State. Required value or explicit unknown missing

Critical values or explicit unknown declarations and supplied noncritical values are retained. This is not full E-Filing technical validation.

Field	Value
Part	IV
Label	Fictional selected contact field
Critical	Yes
Value	
Unknown	No
Exact evidence source references	S1

8. CTR part coverage (II)

State. Part not supplied

The selected report part has no supplied field evidence. Review the current full FinCEN instructions.

Field	Value
Exact evidence source references	None supplied

9. CTR part coverage (III)

State. Part not supplied

The selected report part has no supplied field evidence. Review the current full FinCEN instructions.

Field	Value
Exact evidence source references	None supplied

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
institutionScope	Customer-confirmed covered institution
transactions 1. reference	T1
transactions 1. businessDay	October 2, 2026
transactions 1. aggregationPersonId	P1
transactions 1. conductorId	P1
transactions 1. accountReference	FICTIONAL-ACCOUNT
transactions 1. branch	Fictional branch one
transactions 1. direction	Cash in
transactions 1. amount	6000
transactions 1. currency	USD
transactions 1. knowledgeConfirmed	Yes
transactions 1. sourceIds	S1
transactions 2. reference	T2
transactions 2. businessDay	October 2, 2026
transactions 2. aggregationPersonId	P1
transactions 2. conductorId	P2
transactions 2. accountReference	FICTIONAL-ACCOUNT
transactions 2. branch	Fictional branch two
transactions 2. direction	Cash in
transactions 2. amount	4000.01
transactions 2. currency	USD

Field	Value
transactions 2. knowledgeConfirmed	Yes
transactions 2. sourceIds	S1
transactions 3. reference	T3
transactions 3. businessDay	October 2, 2026
transactions 3. aggregationPersonId	P1
transactions 3. conductorId	P1
transactions 3. accountReference	FICTIONAL-ACCOUNT
transactions 3. branch	Fictional branch one
transactions 3. direction	Cash out
transactions 3. amount	500
transactions 3. currency	USD
transactions 3. knowledgeConfirmed	Yes
transactions 3. sourceIds	S1
fields 1. reference	FIELD1
fields 1. part	I
fields 1. label	Fictional selected party name field
fields 1. critical	Yes
fields 1. value	Fictional party
fields 1. unknown	No
fields 1. sourceIds	S1
fields 2. reference	FIELD2
fields 2. part	IV
fields 2. label	Fictional selected contact field
fields 2. critical	Yes

Field	Value
fields 2. value	
fields 2. unknown	No
fields 2. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Financial Crimes Enforcement Network: FinCEN Currency Transaction Report FAQs	FAQs 1 and 17 describe field completeness and separate cash-in and cash-out aggregation by known person and business day. Supplied scope and exemption decisions remain with qualified customers. This tool neither files nor performs full E-Filing validation.	October 9, 2026	https://www.fincen.gov/resources/frequently-asked-questions-regarding-fincen-currency-transaction-report-ctr