

COMPLIANCE WORKING RECORD

Regulatory submission receipt reconciler

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.regulatory-training.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Join exact prepared submission versions and supplied receipt records without treating transport delivery as regulator acceptance.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional customer-approved workflow. Replace every example before real use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Match submission reference, version, SHA-256 and transport reference. Check receipt chronology, correction chains and conflicting acknowledgment/rejection records. Retain every unmatched receipt and original submission.

Customer-supplied receipts only. No portal connection, submission, fresh provider authentication or invented acceptance. Up to 100 submissions and receipts.

All original evidence, approved rules, recipient events and factual verification remain customer declarations. File hashes establish retained byte identity only.

The tool does not decide credibility, legal applicability, publication, competence, participation, signature, delivery, regulator acceptance or submission.

Prepared results preserve complete accepted records. Recovery never creates payment, source authentication, fresh consent or approval authority.

Complete result counts

Measure	Value
Submission versions	1
Supplied receipts	1

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1
Locator	Fictional section one
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires these exact original bytes.

Field	Value
Filename	FICTIONAL-regulatory-training-evidence.txt
Media type	text/plain
Bytes	206
SHA-256	5caf71f8ad946bcc893f678cedea5f9390a5d12eb1f3e5f2e2c5b0789f93d574
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. Submission version and receipts (SUB1)

State. Transport only

Transport receipt alone is not regulator acceptance. Supplied source-linked statuses remain assertions.

Field	Value
reference	SUB1
form	Fictional selected return
formVersion	fictional-v1
reportingPeriod	fictional-2026-Q3
submissionVersion	submission-v1
sha256	111111111111111111111111111111111111111111111111111 111111111111111111
sentOn	October 1, 2026
transportReference	FICTIONAL-TRANSPORT1
correctsReference	
sourceIds	["S1"]

[illegible]

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
submissions 1. reference	SUB1
submissions 1. form	Fictional selected return
submissions 1. formVersion	fictional-v1
submissions 1. reportingPeriod	fictional-2026-Q3
submissions 1. submissionVersion	submission-v1
submissions 1. sha256	111111111111111111111111111111111111111111111111111 11111111111111111111
submissions 1. sentOn	October 1, 2026
submissions 1. transportReference	FICTIONAL-TRANSPORT1
submissions 1. correctsReference	
submissions 1. sourceIds	S1
receipts 1. reference	RECEIPT1
receipts 1. submissionReference	SUB1
receipts 1. submissionVersion	submission-v1

Field	Value
receipts 1. sha256	111111111111111111111111111111111111111111111111111 111111111111111111
receipts 1. transportReference	FICTIONAL-TRANSPORT1
receipts 1. on	October 2, 2026
receipts 1. kind	Transport receipt
receipts 1. providerStatus	Fictional transmission recorded only
receipts 1. reason	No regulator acknowledgment supplied
receipts 1. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Securities and Exchange Commission: Determine the Status of My Filing	SEC guidance distinguishes submission transmission from acceptance and suspense notifications. The customer supplies exact receipt records. Other regulators retain their own stated receipt semantics; no portal submission or provider authentication is inferred.	October 9, 2026	https://www.sec.gov/submit-filings/filer-support-resources/how-do-i-guides/determine-status-my-filing