

COMPLIANCE WORKING RECORD

Product governance evidence pack

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.regulatory-training.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Reconcile exact product versions, approved target markets, distribution rules, observations and review decisions.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026
Scope	Fictional customer-approved workflow. Replace every example before real use.

Field	Value
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Join product/version, channel and segment identity without borrowing another record. Compare source-linked observations with one approved permitted distribution rule and preserve all excluded segments and review gaps.

No product approval, suitability decision, live sales feed or automated withdrawal. Up to 100 records per table.

All original evidence, approved rules, recipient events and factual verification remain customer declarations. File hashes establish retained byte identity only.

The tool does not decide credibility, legal applicability, publication, competence, participation, signature, delivery, regulator acceptance or submission.

Prepared results preserve complete accepted records. Recovery never creates payment, source authentication, fresh consent or approval authority.

Complete result counts

Measure	Value
Products	1
Observed distribution count	3

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1
Locator	Fictional section one

Field	Value
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires these exact original bytes.

Field	Value
Filename	FICTIONAL-regulatory-training-evidence.txt
Media type	text/plain
Bytes	206
SHA-256	5caf71f8ad946bcc893f678cedea5f9390a5d12eb1f3e5f2e2c5b0789f93d574
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1

Field	Value
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. Product lifecycle record (PRODUCT1)

State. Documented

Frozen product version, target choices, reviews and distribution facts remain exact declarations.

Field	Value
reference	PRODUCT1
version	fictional-v1
description	Fictional bounded product
owner	Fictional product owner
reviewDueOn	October 20, 2026
sourceIds	["S1"]
Target markets	[{"reference":"SEGMENT1","productReference":"PRODUCT1","segment":"Fictional selected segment","selection":"Selected","rationale":"Fictional customer scope","approvedBy":"Fictional product reviewer","approvedOn":"2026-10-02","sourceIds":["S1"]}]
Reviews	[{"reference":"REVIEW1","productReference":"PRODUCT1","productVersion":"fictional-v1","on":"2026-10-02","reviewer":"Fictional product reviewer","outcome":"Continue","rationale":"Fictional retained decision only","sourceIds":["S1"]}]
Review due state	Not past customer date
Exact evidence source references	S1

5. Distribution and target-market reconciliation (OBS1)

State. Source-linked selected distribution

Customer selections define the bounded comparison. No suitability or product approval follows.

Field	Value
reference	OBS1
productReference	PRODUCT1
productVersion	fictional-v1
channel	Fictional approved channel
segmentReference	SEGMENT1
on	October 2, 2026
count	3
sourceIds	["S1"]
Matching rules	[{"reference":"RULE1","productReference":"PRODUCT1","channel":"Fictional approved channel","segmentReference":"SEGMENT1","permitted":"Yes","sourceIds":["S1"]}]
Gaps	
Exact evidence source references	S1

6. Product version review (REVIEW1)

State. Source-linked review

Continue/change/withdraw remains the customer's recorded decision.

Field	Value
reference	REVIEW1
productReference	PRODUCT1
productVersion	fictional-v1
on	October 2, 2026

Field	Value
reviewer	Fictional product reviewer
outcome	Continue
rationale	Fictional retained decision only
sourceIds	["S1"]
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
products 1. reference	PRODUCT1
products 1. version	fictional-v1
products 1. description	Fictional bounded product
products 1. owner	Fictional product owner
products 1. reviewDueOn	October 20, 2026
products 1. sourceIds	S1
targetMarkets 1. reference	SEGMENT1
targetMarkets 1. productReference	PRODUCT1
targetMarkets 1. segment	Fictional selected segment
targetMarkets 1. selection	Selected
targetMarkets 1. rationale	Fictional customer scope
targetMarkets 1. approvedBy	Fictional product reviewer
targetMarkets 1. approvedOn	October 2, 2026
targetMarkets 1. sourceIds	S1

Field	Value
distributionRules 1. reference	RULE1
distributionRules 1. productReference	PRODUCT1
distributionRules 1. channel	Fictional approved channel
distributionRules 1. segmentReference	SEGMENT1
distributionRules 1. permitted	Yes
distributionRules 1. sourceIds	S1
observations 1. reference	OBS1
observations 1. productReference	PRODUCT1
observations 1. productVersion	fictional-v1
observations 1. channel	Fictional approved channel
observations 1. segmentReference	SEGMENT1
observations 1. on	October 2, 2026
observations 1. count	3
observations 1. sourceIds	S1
reviews 1. reference	REVIEW1
reviews 1. productReference	PRODUCT1
reviews 1. productVersion	fictional-v1
reviews 1. on	October 2, 2026
reviews 1. reviewer	Fictional product reviewer
reviews 1. outcome	Continue
reviews 1. rationale	Fictional retained decision only
reviews 1. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Consumer Financial Protection Bureau: Compliance Management Review, Examination Procedures	Examination procedures discuss product development, marketing, administration, complaints and staff training. These procedures do not automatically apply to every US business; customer-selected records remain bounded observations.	October 9, 2026	https://www.consumerfinance.gov/compliance/supervision-examinations/compliance-management-review-examination-procedures/