

COMPLIANCE WORKING RECORD

# Supplier incident notification reconciler

FICTIONAL evidence sample. Requires tailoring.

Document control

| Field              | Value                                 |
|--------------------|---------------------------------------|
| Document type      | Evidence workpaper                    |
| Document reference | CASE-FICTIONAL                        |
| Jurisdiction       | United States                         |
| Version            | 2026-10-09.assurance-resilience.1     |
| Status             | FICTIONAL sample. Requires tailoring. |
| Classification     | Private                               |
| Prepared by        | Fictional responsible reviewer        |
| Prepared on        | October 9, 2026                       |
| Sources reviewed   | October 9, 2026                       |
| Generated          | October 9, 2026                       |

Document control and frozen case

Compare supplied supplier notices with exact customer-approved contract versions, trigger times, channels and content requirements.

| Field                | Value                          |
|----------------------|--------------------------------|
| Case reference       | CASE-FICTIONAL                 |
| Organization         | Fictional Example Company      |
| Subject              | Fictional customer case        |
| Responsible reviewer | Fictional responsible reviewer |
| Preparation date     | October 9, 2026                |

| Field                   | Value                                                                           |
|-------------------------|---------------------------------------------------------------------------------|
| Scope                   | Fictional customer-approved scope. Replace all example records before real use. |
| Customer policy         | POLICY-FICTIONAL                                                                |
| Customer policy version | fictional-v1                                                                    |
| Declared approver       | Fictional approver                                                              |
| Declared approval date  | October 2, 2026                                                                 |
| Decision boundary       | No substantive decision or external filing made.                                |

## Named method and supported scope

Join incident, service and contract identity. Calculate exact UTC elapsed milliseconds and approved notice deadlines. Preserve duplicate triggers, disputed receipt times, late notices, content omissions and unassigned records.

Customer-supplied records only. No live supplier connection, breach finding, external notice or automatic legal deadline. Up to 100 rows per table, 20 originals and 25,000,000 combined original bytes.

Original contents, annotations, approvals, reported events and applicability remain customer declarations. File hashes establish retained byte identity only.

No SOC assurance, live restore, actual failover, legal service, legal classification, contract negotiation, transaction approval or external communication occurs.

All accepted records remain complete. Recovery preserves original bytes and frozen results without creating fresh payment, source authentication, consent or approval authority.

## Complete result counts

| Measure                         | Value |
|---------------------------------|-------|
| Incident-commitment comparisons | 1     |

### 1. Original evidence source (S1)

State. Customer verification linked

Customer-declared original identity and verification only. Content is not extracted or independently authenticated.

| Field | Value |
|-------|-------|
| id    | S1    |

| Field                            | Value                                                             |
|----------------------------------|-------------------------------------------------------------------|
| fileId                           | F1                                                                |
| locator                          | Fictional section one                                             |
| sourceDate                       | October 1, 2026                                                   |
| custodian                        | Fictional customer custodian                                      |
| description                      | Fictional source declaration. Does not establish actual evidence. |
| verification                     | Customer verified                                                 |
| verifiedBy                       | Fictional reviewer                                                |
| verifiedOn                       | October 2, 2026                                                   |
| Exact evidence source references | S1                                                                |

## 2. Original byte manifest (F1)

State. Indexed

Complete export requires these exact original bytes.

| Field                            | Value                                                            |
|----------------------------------|------------------------------------------------------------------|
| id                               | F1                                                               |
| name                             | FICTIONAL-assurance-resilience-evidence.txt                      |
| mimeType                         | text/plain                                                       |
| bytes                            | 197                                                              |
| sha256                           | 06569f98e8a6ebac8b1f9470fd3bdbefd8d3c577e4bc765ee106601022f85c71 |
| Exact evidence source references | S1                                                               |

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact approved customer scope. No automatic legal applicability.

| Field                            | Value              |
|----------------------------------|--------------------|
| reference                        | POLICY-FICTIONAL   |
| version                          | fictional-v1       |
| approvedBy                       | Fictional approver |
| approvedOn                       | October 2, 2026    |
| sourceIds                        | ["S1"]             |
| Exact evidence source references | S1                 |

4. Supplier notice commitment reconciliation (INCIDENT1/COMMIT1)

State. Late against customer rule

Elapsed time and required fields compare supplied notices with exact approved customer commitments. No contractual breach or legal notification determination.

| Field         | Value                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Incident      | { "reference": "INCIDENT1", "sourceIds": ["S1"], "serviceReference": "SERVICE1", "contractReference": "CONTRACT1", "contractVersion": "fictional-v1", "description": "Fictional service incident" }                                                                                                                                                                                                                                                     |
| Commitment    | { "reference": "COMMIT1", "sourceIds": ["S1"], "contractReference": "CONTRACT1", "contractVersion": "fictional-v1", "serviceReference": "SERVICE1", "exactClause": "FICTIONAL report through private channel within 60 minutes of discovery.", "triggerKind": "Discovered", "maximumDelayMinutes": 60, "channel": "Fictional private channel", "requiredFields": ["scope", "contact"], "approvedBy": "Fictional approver", "approvedOn": "2026-10-02" } |
| Every trigger | [ { "reference": "TRIGGER1", "sourceIds": ["S1"], "incidentReference": "INCIDENT1", "kind": "Discovered", "at": "2026-10-01T10:00:00.000Z" } ]                                                                                                                                                                                                                                                                                                          |

| Field                            | Value                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Every supplied notice            | [{"reference":"NOTICE1","sourceIds":["S1"],"incidentReference":"INCIDENT1","contractReference":"CONTRACT1","contractVersion":"fictional-v1","serviceReference":"SERVICE1","receivedAt":"2026-10-01T11:15:00.000Z","channel":"Fictional private channel","suppliedFields":{"scope","contact"},"receiptState":"Customer reported","noticeText":"FICTIONAL supplied notice. No real notice received."}] |
| Customer deadline UTC            | 2026-10-01T11:00:00.000Z                                                                                                                                                                                                                                                                                                                                                                             |
| Elapsed milliseconds             | 4500000                                                                                                                                                                                                                                                                                                                                                                                              |
| Missing required fields          |                                                                                                                                                                                                                                                                                                                                                                                                      |
| Gaps                             |                                                                                                                                                                                                                                                                                                                                                                                                      |
| Exact evidence source references | S1, S1                                                                                                                                                                                                                                                                                                                                                                                               |

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

| Field                              | Value                                                                    |
|------------------------------------|--------------------------------------------------------------------------|
| commitments 1. reference           | COMMIT1                                                                  |
| commitments 1. sourceIds           | S1                                                                       |
| commitments 1. contractReference   | CONTRACT1                                                                |
| commitments 1. contractVersion     | fictional-v1                                                             |
| commitments 1. serviceReference    | SERVICE1                                                                 |
| commitments 1. exactClause         | FICTIONAL report through private channel within 60 minutes of discovery. |
| commitments 1. triggerKind         | Discovered                                                               |
| commitments 1. maximumDelayMinutes | 60                                                                       |
| commitments 1. channel             | Fictional private channel                                                |
| commitments 1. requiredFields      | scope, contact                                                           |

| Field                          | Value                                               |
|--------------------------------|-----------------------------------------------------|
| commitments 1. approvedBy      | Fictional approver                                  |
| commitments 1. approvedOn      | October 2, 2026                                     |
| incidents 1. reference         | INCIDENT1                                           |
| incidents 1. sourceIds         | S1                                                  |
| incidents 1. serviceReference  | SERVICE1                                            |
| incidents 1. contractReference | CONTRACT1                                           |
| incidents 1. contractVersion   | fictional-v1                                        |
| incidents 1. description       | Fictional service incident                          |
| triggers 1. reference          | TRIGGER1                                            |
| triggers 1. sourceIds          | S1                                                  |
| triggers 1. incidentReference  | INCIDENT1                                           |
| triggers 1. kind               | Discovered                                          |
| triggers 1. at                 | 2026-10-01T10:00:00.000Z                            |
| notices 1. reference           | NOTICE1                                             |
| notices 1. sourceIds           | S1                                                  |
| notices 1. incidentReference   | INCIDENT1                                           |
| notices 1. contractReference   | CONTRACT1                                           |
| notices 1. contractVersion     | fictional-v1                                        |
| notices 1. serviceReference    | SERVICE1                                            |
| notices 1. receivedAt          | 2026-10-01T11:15:00.000Z                            |
| notices 1. channel             | Fictional private channel                           |
| notices 1. suppliedFields      | scope, contact                                      |
| notices 1. receiptState        | Customer reported                                   |
| notices 1. noticeText          | FICTIONAL supplied notice. No real notice received. |

## Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

## Official sources

| Source                                                                                                                                             | Provision                                                                                                                                                                                                                                                                                     | Date            | Link                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------|
| National Institute of Standards and Technology: NIST Cybersecurity Framework 2.0. Quick-Start Guide for Cybersecurity Supply Chain Risk Management | The October 2024 guide supports establishing supply-chain risk practices and defining supplier requirements using CSF GV.SC. Exact contract timing, capacity and exit rights remain customer declarations. It does not establish private contract obligations or actual supplier performance. | October 9, 2026 | <a href="https://csrc.nist.gov/publications/sp/1305/final">https://csrc.nist.gov/publications/sp/1305/final</a> |