

COMPLIANCE WORKING RECORD

Change impact workpaper

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.regulatory-training.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Map exact imported before/after provisions to customer-selected processes, systems, contracts and policies with dated actions and unanswered questions.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional customer-approved workflow. Replace every example before real use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Join every change-to-asset mapping, retain scope exclusions and decision rationale, and check ownership, due dates and evidence for required actions. Preserve orphan actions and questions.

No automatic legal applicability, system modification or contract variation. Up to 100 records per table.

All original evidence, approved rules, recipient events and factual verification remain customer declarations. File hashes establish retained byte identity only.

The tool does not decide credibility, legal applicability, publication, competence, participation, signature, delivery, regulator acceptance or submission.

Prepared results preserve complete accepted records. Recovery never creates payment, source authentication, fresh consent or approval authority.

Complete result counts

Measure	Value
Imported changes	1
Impact mappings	1

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1
Locator	Fictional section one
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires these exact original bytes.

Field	Value
Filename	FICTIONAL-regulatory-training-evidence.txt
Media type	text/plain
Bytes	206
SHA-256	5caf71f8ad946bcc893f678cedea5f9390a5d12eb1f3e5f2e2c5b0789f93d574
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. Imported change and scope (CHANGE1)

State. Customer selected

No legal applicability is inferred. Exact before/after and source-linked human scope are preserved.

Field	Value
reference	CHANGE1
instrument	Fictional imported instrument
provision	Fictional provision one
beforeText	Fictional previous text.
afterText	Fictional updated text.
effectiveOn	October 20, 2026
scopeDecision	Customer selected
reviewer	Fictional scope reviewer
rationale	Fictional exact customer-selected scope
sourceIds	["S1"]
Full impact mappings	[{"reference":"IMPACT1","changeReference":"CHANGE1","assetReference":"ASSET1","decision":"Change required","rationale":"Fictional source-linked human assessment","reviewer":"Fictional reviewer","sourceIds":["S1"]}]]

Field	Value
Open questions	[{"reference":"QUESTION1","changeReference":"CHANGE1","question":"Has the replacement version been approved?","owner":"Fictional owner","answer":"","sourceIds":["S1"]}]
Mapping coverage	Mappings supplied
Exact evidence source references	S1

5. Change-to-asset impact (IMPACT1)

State. Source-linked impact record

Exact customer mappings drive this workpaper. System changes and contract variations are not executed.

Field	Value
reference	IMPACT1
changeReference	CHANGE1
assetReference	ASSET1
decision	Change required
rationale	Fictional source-linked human assessment
reviewer	Fictional reviewer
sourceIds	["S1"]
Exact asset	{"reference":"ASSET1","kind":"Policy","name":"Fictional controlled policy","version":"fictional-v1","owner":"Fictional owner","sourceIds":["S1"]}
Linked actions	[{"reference":"ACTION1","impactReference":"IMPACT1","action":"Prepare fictional revised policy for approval","owner":"Fictional policy owner","dueOn":"2026-10-19","status":"Open","completedOn":"","sourceIds":["S1"]}]
Gaps	
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
changes 1. reference	CHANGE1
changes 1. instrument	Fictional imported instrument
changes 1. provision	Fictional provision one
changes 1. beforeText	Fictional previous text.
changes 1. afterText	Fictional updated text.
changes 1. effectiveOn	October 20, 2026
changes 1. scopeDecision	Customer selected
changes 1. reviewer	Fictional scope reviewer
changes 1. rationale	Fictional exact customer-selected scope
changes 1. sourceIds	S1
assets 1. reference	ASSET1
assets 1. kind	Policy
assets 1. name	Fictional controlled policy
assets 1. version	fictional-v1
assets 1. owner	Fictional owner
assets 1. sourceIds	S1
impacts 1. reference	IMPACT1
impacts 1. changeReference	CHANGE1
impacts 1. assetReference	ASSET1
impacts 1. decision	Change required
impacts 1. rationale	Fictional source-linked human assessment

Field	Value
impacts 1. reviewer	Fictional reviewer
impacts 1. sourceIds	S1
actions 1. reference	ACTION1
actions 1. impactReference	IMPACT1
actions 1. action	Prepare fictional revised policy for approval
actions 1. owner	Fictional policy owner
actions 1. dueOn	October 19, 2026
actions 1. status	Open
actions 1. completedOn	
actions 1. sourceIds	S1
questions 1. reference	QUESTION1
questions 1. changeReference	CHANGE1
questions 1. question	Has the replacement version been approved?
questions 1. owner	Fictional owner
questions 1. answer	
questions 1. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Government Accountability Office: Standards for Internal Control in the Federal Government	The 2025 Green Book discusses documented risk assessment, change and internal controls. Federal requirements are not imposed on private customers. Exact customer rules and confirmed scope define this voluntary workpaper.	October 9, 2026	https://www.gao.gov/greenbook