

COMPLIANCE WORKING RECORD

Customer relevance matcher

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	CASE-FICTIONAL
Jurisdiction	United States
Version	2026-10-09.regulatory-training.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Compare customer-confirmed profiles against exact approved literal conditions and identify bounded process candidates.

Field	Value
Case reference	CASE-FICTIONAL
Organization	Fictional organization
Subject	Fictional bounded review
Responsible reviewer	Fictional reviewer
Preparation date	October 9, 2026

Field	Value
Scope	Fictional customer-approved workflow. Replace every example before real use.
Customer policy	POLICY-FICTIONAL
Customer policy version	fictional-v1
Declared approver	Fictional policy owner
Declared approval date	October 2, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Evaluate All/Any condition sets with three-valued logic. Missing, unverified or conflicting attributes remain Unknown. Join exact process names and retain every condition and candidate.

A literal rule match suggests customer-defined relevance only. No automatic legal applicability or discovery. Complete comparisons must fit the result bound; no record truncation.

All original evidence, approved rules, recipient events and factual verification remain customer declarations. File hashes establish retained byte identity only.

The tool does not decide credibility, legal applicability, publication, competence, participation, signature, delivery, regulator acceptance or submission.

Prepared results preserve complete accepted records. Recovery never creates payment, source authentication, fresh consent or approval authority.

Complete result counts

Measure	Value
Profile-rule comparisons	1

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Original contents are not extracted or independently authenticated.

Field	Value
Original file ID	F1

Field	Value
Locator	Fictional section one
Source date	October 1, 2026
Custodian	Fictional customer custodian
Description	Fictional source declaration. Does not establish real source evidence.
Customer verification	Customer verified
Verified by	Fictional customer reviewer
Verified on	October 2, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires these exact original bytes.

Field	Value
Filename	FICTIONAL-regulatory-training-evidence.txt
Media type	text/plain
Bytes	206
SHA-256	5caf71f8ad946bcc893f678cedea5f9390a5d12eb1f3e5f2e2c5b0789f93d574
Exact evidence source references	S1

3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact customer-approved policy retained. Applicability and authority remain customer declarations.

Field	Value
Version	fictional-v1

Field	Value
Approver	Fictional policy owner
Approval date	October 2, 2026
Exact evidence source references	S1

4. Confirmed-profile relevance comparison (PROFILE1/RULE1)

State. Matched

Literal customer-approved conditions suggest process relevance. Unknown facts remain unknown; no legal applicability follows.

Field	Value
Profile	{ "reference": "PROFILE1", "organization": "Fictional organization", "confirmedBy": "Fictional profile owner", "confirmedOn": "2026-10-02", "sourceIds": ["S1"] }
Rule	{ "reference": "RULE1", "development": "Fictional approved development", "processName": "Fictional customer review", "logic": "All", "sourceIds": ["S1"] }
Condition results	[{ "condition": { "reference": "CONDITION1", "ruleReference": "RULE1", "field": "sector", "operator": "Equals", "expected": "Fictional selected sector", "sourceIds": ["S1"] }, "attributes": [{ "reference": "ATTR1", "profileReference": "PROFILE1", "field": "sector", "value": "Fictional selected sector", "verification": "Customer verified", "sourceIds": ["S1"] }, { "result": "True" }] }
Exact-name process candidates	[{ "reference": "PROCESS1", "profileReference": "PROFILE1", "name": "Fictional customer review", "owner": "Fictional process owner", "sourceIds": ["S1"] }]
Process coverage	Candidates supplied
Exact evidence source references	S1, S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

Field	Value
profiles 1. reference	PROFILE1
profiles 1. organization	Fictional organization
profiles 1. confirmedBy	Fictional profile owner
profiles 1. confirmedOn	October 2, 2026
profiles 1. sourceIds	S1
attributes 1. reference	ATTR1
attributes 1. profileReference	PROFILE1
attributes 1. field	sector
attributes 1. value	Fictional selected sector
attributes 1. verification	Customer verified
attributes 1. sourceIds	S1
processes 1. reference	PROCESS1
processes 1. profileReference	PROFILE1
processes 1. name	Fictional customer review
processes 1. owner	Fictional process owner
processes 1. sourceIds	S1
rules 1. reference	RULE1
rules 1. development	Fictional approved development
rules 1. processName	Fictional customer review
rules 1. logic	All
rules 1. sourceIds	S1

Field	Value
conditions 1. reference	CONDITION1
conditions 1. ruleReference	RULE1
conditions 1. field	sector
conditions 1. operator	Equals
conditions 1. expected	Fictional selected sector
conditions 1. sourceIds	S1

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Government Accountability Office: Standards for Internal Control in the Federal Government	The 2025 Green Book discusses documented risk assessment, change and internal controls. Federal requirements are not imposed on private customers. Exact customer rules and confirmed scope define this voluntary workpaper.	October 9, 2026	https://www.gao.gov/greenbook