

COMPLIANCE WORKING RECORD

Forced labor due diligence preparation

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	FICTIONAL-CASE
Jurisdiction	United States
Version	2026-10-09.sustainability-sector.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional qualified reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Assemble supplier tiers, source-linked indicators, the eight named social compliance procedures and responsible corrective actions for qualified review.

Field	Value
Case reference	FICTIONAL-CASE
Organization	Fictional Example Company
Subject	FICTIONAL named workflow preparation
Responsible reviewer	Fictional qualified reviewer
Preparation date	October 9, 2026

Field	Value
Scope	FICTIONAL selected records only. Requires tailoring and current qualified scope review.
Customer policy	POLICY1
Customer policy version	fictional-v1
Declared approver	Fictional qualified reviewer
Declared approval date	October 9, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Trace exact supplier relationships and tier progression, flag cycles and missing endpoints, map customer risk positions to evidence, and check all eight DOL procedure areas against exact operating records, current reviews and dated closure evidence.

Customer-selected source records only. No live supplier discovery, labor violation finding or certification of effective controls. Up to 100 records per table within 100,000 UTF-8 bytes for the complete input, 300,000 bytes for the complete result and 500,000 bytes for the workspace. Up to 20 original files, 10,000,000 bytes per original and 25,000,000 combined original bytes. Aggregate capacity r

ejects rather than truncates. Originals stay in this browser unless the customer explicitly authorizes paid cloud saving.

Customer originals, observations, approvals and applicability remain source-attributed declarations. Hashes establish retained byte identity only.

No forced-labor or deforestation clearance, identity or tax decision, clinical determination, certification, regulator filing, recipient message or external submission occurs.

Complete accepted records and exact original bytes remain recoverable. Restore confers no fresh payment, consent, source authentication or approval authority.

Complete result counts

Measure	Value
Selected suppliers	2
Customer priority review suppliers	1

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Byte hashes establish retained identity, not factual authentication.

Field	Value
id	S1
fileId	F1
locator	FICTIONAL original case source
sourceDate	October 1, 2026
custodian	Fictional customer custodian
description	FICTIONAL exact customer-approved case records
verification	Customer verified
verifiedBy	Fictional qualified reviewer
verifiedOn	October 9, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires the exact retained original bytes.

Field	Value
id	F1
name	fictional-original-case.json
contentType	application/json
bytes	8130
sha256	984817ffe11851bf5a3e64ea25fa71c376cd424e13f8e134 ea71832cd4e5e973
Exact evidence source references	S1

3. Frozen customer policy (POLICY1)

State. Documented

Exact approved customer scope. Legal applicability and substantive conclusions remain human decisions.

Field	Value
reference	POLICY1
version	fictional-v1
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
sourceIds	["S1"]
Exact evidence source references	S1

4. Supplier scope and trace (SUP1)

State. Customer review linked

Selected supplier/site/tier and customer review linked. This does not discover suppliers or determine forced labor.

Field	Value
reference	SUP1
sourceIds	["S1"]
name	Fictional supplier
country	Fictional country
site	Fictional site
tier	1
reachableSuppliers	["SUP2"]
cycle	false
indicators	["IND1"]
Exact evidence source references	S1

5. Supplier scope and trace (SUP2)

State. Review required

Risk indicators not recorded. Absence is not clearance

Field	Value
reference	SUP2
sourceIds	["S1"]
name	Fictional downstream supplier
country	Fictional country
site	Fictional downstream site
tier	2
reachableSuppliers	[]
cycle	false
indicators	[]
Exact evidence source references	S1

6. Source-linked supplier relationship (LINK1)

State. Linked

Customer material relationship and tier order linked.

Field	Value
reference	LINK1
sourceIds	["S1"]
fromSupplier	SUP1
toSupplier	SUP2
material	Fictional material
Exact evidence source references	S1

7. Forced-labor indicator review (IND1)

State. Priority review

Customer risk position and exact observed evidence preserved. Indicator presence is not a legal finding.

Field	Value
reference	IND1
sourceIds	["S1"]
supplierReference	SUP1
indicator	Customer-recorded recruitment concern
observedOn	October 1, 2026
observed	FICTIONAL selected worker account
customerRisk	Priority review
rationale	FICTIONAL qualified review needed. No legal violation asserted.
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
Exact evidence source references	S1

8. Eight-step social compliance preparation (Engage stakeholders)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP1","sourceIds":["S1"],"step":"Engage stakeholders","procedure":"FICTIONAL complete Engage stakeholders procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]
Exact evidence source references	S1

9. Eight-step social compliance preparation (Assess risks)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP2","sourceIds":["S1"],"step":"Asses s risks","procedure":"FICTIONAL complete Assess risks procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.", "owner":"Fictional procedure owner", "recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer", "approvedOn":"2026-10-09"}]
Exact evidence source references	S1

10. Eight-step social compliance preparation (Develop code of conduct)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP3","sourceIds":["S1"],"step":"Deve lop code of conduct","procedure":"FICTIONAL complete Develop code of conduct procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.", "owner":"Fictional procedure owner", "recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer", "approvedOn":"2026-10-09"}]
Exact evidence source references	S1

11. Eight-step social compliance preparation (Communicate and train)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
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Field	Value
procedures	[{"reference":"STEP4","sourceIds":["S1"],"step":"Communicate and train","procedure":"FICTIONAL complete Communicate and train procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]
Exact evidence source references	S1

12. Eight-step social compliance preparation (Monitor compliance)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP5","sourceIds":["S1"],"step":"Monitor compliance","procedure":"FICTIONAL complete Monitor compliance procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]
Exact evidence source references	S1

13. Eight-step social compliance preparation (Remediate violations)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP6","sourceIds":["S1"],"step":"Remediate violations","procedure":"FICTIONAL complete Remediate violations procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]

Field	Value
Exact evidence source references	S1

14. Eight-step social compliance preparation (Independent review)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP7","sourceIds":["S1"],"step":"Independent review","procedure":"FICTIONAL complete Independent review procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]
Exact evidence source references	S1

15. Eight-step social compliance preparation (Report performance)

State. Customer procedure documented

Complete customer procedure, responsible owner and selected records mapped. Operational effectiveness remains unverified.

Field	Value
procedures	[{"reference":"STEP8","sourceIds":["S1"],"step":"Report performance","procedure":"FICTIONAL complete Report performance procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.","owner":"Fictional procedure owner","recordIds":["IND1"],"approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-09"}]
Exact evidence source references	S1

16. Corrective action and closure (ACTION-IND1)

State. Open

Exact customer action and closure references linked. Delivery and effective remediation are not authenticated.

Field	Value
reference	ACTION-IND1
sourceIds	["S1"]
itemReference	IND1
owner	Fictional responsible owner
dueOn	October 20, 2026
status	Open
action	FICTIONAL corrective action draft. No message or effective remediation asserted.
closedOn	
closureEvidenceIds	[]
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. Customer annotations remain attributed. Supported workbook and W-9 fields were extracted locally from the exact pinned original. Extraction establishes field identity, not factual authentication.

Field	Value
suppliers 1. reference	SUP1
suppliers 1. sourceIds	["S1"]
suppliers 1. name	Fictional supplier
suppliers 1. country	Fictional country

Field	Value
suppliers 1. site	Fictional site
suppliers 1. tier	1
suppliers 2. reference	SUP2
suppliers 2. sourceIds	["S1"]
suppliers 2. name	Fictional downstream supplier
suppliers 2. country	Fictional country
suppliers 2. site	Fictional downstream site
suppliers 2. tier	2
links 1. reference	LINK1
links 1. sourceIds	["S1"]
links 1. fromSupplier	SUP1
links 1. toSupplier	SUP2
links 1. material	Fictional material
indicators 1. reference	IND1
indicators 1. sourceIds	["S1"]
indicators 1. supplierReference	SUP1
indicators 1. indicator	Customer-recorded recruitment concern
indicators 1. observedOn	October 1, 2026
indicators 1. observed	FICTIONAL selected worker account
indicators 1. customerRisk	Priority review
indicators 1. rationale	FICTIONAL qualified review needed. No legal violation asserted.
indicators 1. approvedBy	Fictional qualified reviewer
indicators 1. approvedOn	October 9, 2026

Field	Value
steps 1. reference	STEP1
steps 1. sourceIds	["S1"]
steps 1. step	Engage stakeholders
steps 1. procedure	FICTIONAL complete Engage stakeholders procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 1. owner	Fictional procedure owner
steps 1. recordIds	["IND1"]
steps 1. approvedBy	Fictional qualified reviewer
steps 1. approvedOn	October 9, 2026
steps 2. reference	STEP2
steps 2. sourceIds	["S1"]
steps 2. step	Assess risks
steps 2. procedure	FICTIONAL complete Assess risks procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 2. owner	Fictional procedure owner
steps 2. recordIds	["IND1"]
steps 2. approvedBy	Fictional qualified reviewer
steps 2. approvedOn	October 9, 2026
steps 3. reference	STEP3
steps 3. sourceIds	["S1"]
steps 3. step	Develop code of conduct
steps 3. procedure	FICTIONAL complete Develop code of conduct procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 3. owner	Fictional procedure owner

Field	Value
steps 3. recordIds	["IND1"]
steps 3. approvedBy	Fictional qualified reviewer
steps 3. approvedOn	October 9, 2026
steps 4. reference	STEP4
steps 4. sourceIds	["S1"]
steps 4. step	Communicate and train
steps 4. procedure	FICTIONAL complete Communicate and train procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 4. owner	Fictional procedure owner
steps 4. recordIds	["IND1"]
steps 4. approvedBy	Fictional qualified reviewer
steps 4. approvedOn	October 9, 2026
steps 5. reference	STEP5
steps 5. sourceIds	["S1"]
steps 5. step	Monitor compliance
steps 5. procedure	FICTIONAL complete Monitor compliance procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 5. owner	Fictional procedure owner
steps 5. recordIds	["IND1"]
steps 5. approvedBy	Fictional qualified reviewer
steps 5. approvedOn	October 9, 2026
steps 6. reference	STEP6
steps 6. sourceIds	["S1"]
steps 6. step	Remediate violations

Field	Value
steps 6. procedure	FICTIONAL complete Remediate violations procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 6. owner	Fictional procedure owner
steps 6. recordIds	["IND1"]
steps 6. approvedBy	Fictional qualified reviewer
steps 6. approvedOn	October 9, 2026
steps 7. reference	STEP7
steps 7. sourceIds	["S1"]
steps 7. step	Independent review
steps 7. procedure	FICTIONAL complete Independent review procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 7. owner	Fictional procedure owner
steps 7. recordIds	["IND1"]
steps 7. approvedBy	Fictional qualified reviewer
steps 7. approvedOn	October 9, 2026
steps 8. reference	STEP8
steps 8. sourceIds	["S1"]
steps 8. step	Report performance
steps 8. procedure	FICTIONAL complete Report performance procedure. Responsible owner records scope, sources, method, findings and unresolved actions. Requires tailoring.
steps 8. owner	Fictional procedure owner
steps 8. recordIds	["IND1"]
steps 8. approvedBy	Fictional qualified reviewer
steps 8. approvedOn	October 9, 2026
actions 1. reference	ACTION-IND1

Field	Value
actions 1. sourceIds	["S1"]
actions 1. itemReference	IND1
actions 1. owner	Fictional responsible owner
actions 1. dueOn	October 20, 2026
actions 1. status	Open
actions 1. action	FICTIONAL corrective action draft. No message or effective remediation asserted.
actions 1. closedOn	
actions 1. closureEvidenceIds	[]
actions 1. approvedBy	Fictional qualified reviewer
actions 1. approvedOn	October 9, 2026
reviews 1. reference	REVIEW-SUP1
reviews 1. sourceIds	["S1"]
reviews 1. itemReference	SUP1
reviews 1. on	October 9, 2026
reviews 1. position	Accepted
reviews 1. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 1. approvedBy	Fictional qualified reviewer
reviews 1. approvedOn	October 9, 2026
reviews 2. reference	REVIEW-SUP2
reviews 2. sourceIds	["S1"]
reviews 2. itemReference	SUP2
reviews 2. on	October 9, 2026
reviews 2. position	Accepted

Field	Value
reviews 2. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 2. approvedBy	Fictional qualified reviewer
reviews 2. approvedOn	October 9, 2026

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
US Department of Labor: SourcingStrong. Steps to a Social Compliance System	DOL's SourcingStrong overview identifies eight social compliance areas, from stakeholder engagement and risk assessment through remediation, independent review and reporting. This tool maps those named customer procedures and original evidence. It does not establish actual supplier discovery, effective remediation or absence of forced labor.	October 9, 2026	https://www.dol.gov/general/apps/ilab-comply-chain