COMPLIANCE WORKING RECORD

Human-rights due diligence workpaper

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	FICTIONAL-CASE
Jurisdiction	United States
Version	2026-10-09.sustainability-sector.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional qualified reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Prepare source-linked actual/potential impacts, severity dimensions, affected-group consultation, customer priority ranking and corrective actions.

Field	Value
Case reference	FICTIONAL-CASE
Organization	Fictional Example Company
Subject	FICTIONAL named workflow preparation
Responsible reviewer	Fictional qualified reviewer
Preparation date	October 9, 2026

Field	Value
Scope	FICTIONAL selected records only. Requires tailoring and current qualified scope review.
Customer policy	POLICY1
Customer policy version	fictional-v1
Declared approver	Fictional qualified reviewer
Declared approval date	October 9, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Apply the exact selected max-severity times likelihood instrument using integer arithmetic. Join the exact impact, affected group, source-supported consultation, latest unique customer review and approved action. Preserve severity dimensions, uncertainty and qualified remedy decisions separately.

Numeric ranking is a customer method. It neither establishes a UNGP legal classification nor replaces qualified prioritization of severe impacts, liability or remedy decisions. Up to 100 records per table within 100,000 UTF-8 bytes for the complete input, 300,000 bytes for the complete result and 500,000 bytes for the workspace. Up to 20 original files, 10,000,000 bytes per original and 25,000,000

combined original bytes. Aggregate capacity rejects rather than truncates. Originals stay in this browser unless the customer explicitly authorizes paid cloud saving.

Customer originals, observations, approvals and applicability remain source-attributed declarations. Hashes establish retained byte identity only.

No forced-labor or deforestation clearance, identity or tax decision, clinical determination, certification, regulator filing, recipient message or external submission occurs.

Complete accepted records and exact original bytes remain recoverable. Restore confers no fresh payment, consent, source authentication or approval authority.

Complete result counts

Measure	Value
Impacts ranked	1
Customer threshold priority impacts	1

Complete preparation draft

Draft only. Unresolved positions remain explicit. No communication is sent.

1. Fictional recruitment process. Fictional selected labor right. Affected group Fictional workers. Potential. Customer score 20/25. FICTIONAL customer scores. Qualified human priority and remedy remain separate.. Review gaps None mechanically identified.

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Byte hashes establish retained identity, not factual authentication.

Field	Value
id	S1
fileId	F1
locator	FICTIONAL original case source
sourceDate	October 1, 2026
custodian	Fictional customer custodian
description	FICTIONAL exact customer-approved case records
verification	Customer verified
verifiedBy	Fictional qualified reviewer
verifiedOn	October 9, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires the exact retained original bytes.

Field	Value
id	F1
name	fictional-original-case.json
mimeType	application/json

Field	Value
bytes	3358
sha256	59d26078d5950926b36a5d6808e26760486d3db65dbba16cf89949f16c5b0812
Exact evidence source references	S1

3. Frozen customer policy (POLICY1)

State. Documented

Exact approved customer scope. Legal applicability and substantive conclusions remain human decisions.

Field	Value
reference	POLICY1
version	fictional-v1
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
sourceIds	["S1"]
Exact evidence source references	S1

4. Approved impact prioritization (IMPACT1)

State. Customer priority threshold reached

Deterministic customer method. Severity is max(scale, scope, irremediability), multiplied by likelihood. Numeric ranking does not decide rights, liability or remedy.

Field	Value
reference	IMPACT1
sourceIds	["S1"]
activity	Fictional recruitment process
right	Fictional selected labor right
affectedGroup	Fictional workers

Field	Value
actualOrPotential	Potential
scale	4
scopeScore	3
irremediability	5
likelihood	4
rationale	FICTIONAL customer scores. Qualified human priority and remedy remain separate.
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
rank	1
severity	5
priorityScore	20
threshold	15
scoringInstrument	Fictional approved max-severity times likelihood instrument
consultations	[{"reference":"CONSULT1","sourceIds":["S1"],"itemReference":"IMPACT1","group":"Fictional workers","on":"2026-10-01","reportedInput":"FICTIONAL stakeholder concern. No authenticated participation claimed.","participationEvidence":"FICTIONAL customer meeting note reference"}]
Exact evidence source references	S1

5. Corrective action and closure (ACTION-IMPACT1)

State. Open

Exact customer action and closure references linked. Delivery and effective remediation are not authenticated.

Field	Value
reference	ACTION-IMPACT1
sourceIds	["S1"]

Field	Value
itemReference	IMPACT1
owner	Fictional responsible owner
dueOn	October 20, 2026
status	Open
action	FICTIONAL corrective action draft. No message or effective remediation asserted.
closedOn	
closureEvidenceIds	[]
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. Customer annotations remain attributed. Supported workbook and W-9 fields were extracted locally from the exact pinned original. Extraction establishes field identity, not factual authentication.

Field	Value
priorityThreshold	15
scoringInstrument	Fictional approved max-severity times likelihood instrument
impacts 1. reference	IMPACT1
impacts 1. sourceIds	["S1"]
impacts 1. activity	Fictional recruitment process
impacts 1. right	Fictional selected labor right
impacts 1. affectedGroup	Fictional workers
impacts 1. actualOrPotential	Potential

Field	Value
impacts 1. scale	4
impacts 1. scopeScore	3
impacts 1. irremediability	5
impacts 1. likelihood	4
impacts 1. rationale	FICTIONAL customer scores. Qualified human priority and remedy remain separate.
impacts 1. approvedBy	Fictional qualified reviewer
impacts 1. approvedOn	October 9, 2026
consultations 1. reference	CONSULT1
consultations 1. sourceIds	["S1"]
consultations 1. itemReference	IMPACT1
consultations 1. group	Fictional workers
consultations 1. on	October 1, 2026
consultations 1. reportedInput	FICTIONAL stakeholder concern. No authenticated participation claimed.
consultations 1. participationEvidence	FICTIONAL customer meeting note reference
actions 1. reference	ACTION-IMPACT1
actions 1. sourceIds	["S1"]
actions 1. itemReference	IMPACT1
actions 1. owner	Fictional responsible owner
actions 1. dueOn	October 20, 2026
actions 1. status	Open
actions 1. action	FICTIONAL corrective action draft. No message or effective remediation asserted.
actions 1. closedOn	
actions 1. closureEvidenceIds	[]

Field	Value
actions 1. approvedBy	Fictional qualified reviewer
actions 1. approvedOn	October 9, 2026
reviews 1. reference	REVIEW-IMPACT1
reviews 1. sourceIds	["S1"]
reviews 1. itemReference	IMPACT1
reviews 1. on	October 9, 2026
reviews 1. position	Accepted
reviews 1. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 1. approvedBy	Fictional qualified reviewer
reviews 1. approvedOn	October 9, 2026

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
United Nations Office of the High Commissioner for Human Rights: Guiding Principles on Business and Human Rights	The UN Guiding Principles describe policy commitment, human-rights due diligence and remediation. Principle 24 addresses severe impacts when prioritization is necessary. This voluntary workpaper preserves customer severity, likelihood, affected-group input and actions. Its selected numeric instrument is a customer method, not a UN legal classification or substitute for qualified priority and remedy decisions.	October 9, 2026	https://www.ohchr.org/sites/default/files/GuidingPrinciplesBusinessHR_EN.pdf