

COMPLIANCE WORKING RECORD

Responsible minerals reporting validator

FICTIONAL evidence sample. Requires tailoring.

Document control

Field	Value
Document type	Evidence workpaper
Document reference	FICTIONAL-CASE
Jurisdiction	United States
Version	2026-10-09.sustainability-sector.1
Status	FICTIONAL sample. Requires tailoring.
Classification	Private
Prepared by	Fictional qualified reviewer
Prepared on	October 9, 2026
Sources reviewed	October 9, 2026
Generated	October 9, 2026

Document control and frozen case

Validate actual BCT Responsible Minerals Review Workbook 1.0 fields and preset 3TG supplier/smelter logic, with actionable exact cell results.

Field	Value
Case reference	FICTIONAL-CASE
Organization	Fictional Example Company
Subject	FICTIONAL named workflow preparation
Responsible reviewer	Fictional qualified reviewer
Preparation date	October 9, 2026

Field	Value
Scope	FICTIONAL selected records only. Requires tailoring and current qualified scope review.
Customer policy	POLICY1
Customer policy version	fictional-v1
Declared approver	Fictional qualified reviewer
Declared approval date	October 9, 2026
Decision boundary	No substantive decision or external filing made.

Named method and supported scope

Read the exact original XLSX locally, pin its SHA-256, require four exact sheets and versioned headers, retain every supported cell and reject unsupported structures. Check all four minerals per supplier/product, required fields, survey/contains conflicts, exact smelter/mineral joins, duplicate identities and status evidence. Formulas remain unevaluated gaps.

Only independently authored BCT workbook 1.0. No CMRT/EMRT/AMRT import, RMI certification, smelter discovery or current conformance lookup. Download the fictional authored workbook as a field example. Up to 100 records per table within 100,000 UTF-8 bytes for the complete input, 300,000 bytes for the complete result and 500,000 bytes for the workspace. Up to 20 original files, 10,000,000 bytes per

original and 25,000,000 combined original bytes. Aggregate capacity rejects rather than truncates. Originals stay in this browser unless the customer explicitly authorizes paid cloud saving.

Customer originals, observations, approvals and applicability remain source-attributed declarations. Hashes establish retained byte identity only.

No forced-labor or deforestation clearance, identity or tax decision, clinical determination, certification, regulator filing, recipient message or external submission occurs.

Complete accepted records and exact original bytes remain recoverable. Restore confers no fresh payment, consent, source authentication or approval authority.

Complete result counts

Measure	Value
Supported workbook cells	69
Supplier/product scopes	1
Predefined field, response or smelter gaps	2

1. Original evidence source (S1)

State. Customer verification linked

Customer-declared source identity and verification. Byte hashes establish retained identity, not factual authentication.

Field	Value
id	S1
fileId	F1
locator	FICTIONAL original case source
sourceDate	October 1, 2026
custodian	Fictional customer custodian
description	FICTIONAL exact customer-approved case records
verification	Customer verified
verifiedBy	Fictional qualified reviewer
verifiedOn	October 9, 2026
Exact evidence source references	S1

2. Original byte manifest (F1)

State. Indexed

Complete attachment export requires the exact retained original bytes.

Field	Value
id	F1
name	fictional-bct-responsible-minerals-1.0.xlsx
mimeType	application/vnd.openxmlformats-officedocument.spreadsheetml.sheet
bytes	11404
sha256	346afaed9725b9d080a1900866b2c5b36b1c944abe32f476b8cb5d2624b00fb9
Exact evidence source references	S1

3. Frozen customer policy (POLICY1)

State. Documented

Exact approved customer scope. Legal applicability and substantive conclusions remain human decisions.

Field	Value
reference	POLICY1
version	fictional-v1
approvedBy	Fictional qualified reviewer
approvedOn	October 9, 2026
sourceIds	["S1"]
Exact evidence source references	S1

4. Exact supported template and version (F1)

State. Bound to original

The authored BCT Responsible Minerals Review Workbook 1.0 is supported. This is not CMRT, EMRT or AMRT and does not establish RMI validation or certification.

Field	Value
template	BCT Responsible Minerals Review Workbook
version	1.0
sha256	346afaed9725b9d080a1900866b2c5b36b1c944abe32f476b8cb5d2624b00fb9
scope	Product
scopeDescription	Fictional product PRODUCT1. Independent BCT workbook. No CMRT certification.
Exact evidence source references	S1

5. Predefined 3TG response consistency (Minerals!A2)

State. Response fields consistent

Exact supplier/product/mineral, survey status and referenced smelters agree within this authored schema. No sourcing assurance inferred.

Field	Value
reference	TIN1
sourceIds	["S1"]
supplierId	SUP1
productId	PRODUCT1
mineral	Tin
contains	Yes
surveyed	Yes
smelterIds	["SMELTER1"]
responseOn	October 1, 2026
sourceCell	Minerals!A2
linkedSmelters	["SM1"]
Exact evidence source references	S1

6. Predefined 3TG response consistency (Minerals!A3)

State. Response fields consistent

Exact supplier/product/mineral, survey status and referenced smelters agree within this authored schema. No sourcing assurance inferred.

Field	Value
reference	TANTALUM1
sourceIds	["S1"]
supplierId	SUP1
productId	PRODUCT1

Field	Value
mineral	Tantalum
contains	No
surveyed	Yes
smelterIds	[]
responseOn	October 1, 2026
sourceCell	Minerals!A3
linkedSmelters	[]
Exact evidence source references	S1

7. Predefined 3TG response consistency (Minerals!A4)

State. Cell review required

Supplier answer unresolved

Field	Value
reference	TUNGSTEN1
sourceIds	["S1"]
supplierId	SUP1
productId	PRODUCT1
mineral	Tungsten
contains	Unknown
surveyed	No
smelterIds	[]
responseOn	October 1, 2026

Field	Value
sourceCell	Minerals!A4
linkedSmelters	[]
Exact evidence source references	S1

8. Predefined 3TG response consistency (Minerals!A5)

State. Response fields consistent

Exact supplier/product/mineral, survey status and referenced smelters agree within this authored schema. No sourcing assurance inferred.

Field	Value
reference	GOLD1
sourceIds	["S1"]
supplierId	SUP1
productId	PRODUCT1
mineral	Gold
contains	No
surveyed	Yes
smelterIds	[]
responseOn	October 1, 2026
sourceCell	Minerals!A5
linkedSmelters	[]
Exact evidence source references	S1

9. Exact smelter linkage and declared status (Smelters!A2)

State. Review required

Smelter conformance unresolved

Field	Value
reference	SM1
sourceIds	["S1"]
smelterId	SMEILTER1
mineral	Tin
name	Fictional smelter
country	Fictional country
declaredStatus	Unknown
statusEvidenceIds	[]
Exact evidence source references	S1

Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. Customer annotations remain attributed. Supported workbook and W-9 fields were extracted locally from the exact pinned original. Extraction establishes field identity, not factual authentication.

Field	Value
supportedTemplate	BCT Responsible Minerals Review Workbook
templateVersion	1.0
workbookFileId	F1
workbookSha256	346afaed9725b9d080a1900866b2c5b36b1c944abe32f476b8cb5d2624b00fb9

Field	Value
extraction	{ "fileId": "F1", "sha256": "346afaed9725b9d080a1900866b2c5b36b1c944abe32f476b8cb5d2624b00fb9", "format": "BCT minerals workbook 1.0", "cells": { { "reference": "CELL1", "location": "Template!A1", "type": "Text", "value": "Template", }, { "reference": "CELL2", "location": "Template!B1", "type": "Text", "value": "BCT Responsible Minerals Review Workbook", }, { "reference": "CELL3", "location": "Template!A2", "type": "Text", "value": "Ve
	rsion", { "reference": "CELL4", "location": "Template!B2", "type": "Text", "value": "1.0", }, { "reference": "CELL5", "location": "Declaration!A1", "type": "Text", "value": "Review scope", }, { "reference": "CELL6", "location": "Declaration!B1", "type": "Text", "value": "Product", }, { "reference": "CELL7", "location": "Declaration!A2", "type": "Text", "value": "Scope description", }, { "reference": "CELL8", "location": "Declaration!B2", "type":
	"Text", "value": "Fictional product PRODUCT1. Independent BCT workbook. No CMRT certification.", { "reference": "CELL9", "location": "Minerals!A1", "type": "Text", "value": "Response reference", }, { "reference": "CELL10", "location": "Minerals!B1", "type": "Text", "value": "Supplier ID", }, { "reference": "CELL11", "location": "Minerals!C1", "type": "Text", "value": "Product ID", }, { "reference": "CELL12", "location": "Minerals!D1",
	type": "Text", "value": "Mineral", }, { "reference": "CELL13", "location": "Minerals!E1", "type": "Text", "value": "Contents", }, { "reference": "CELL14", "location": "Minerals!F1", "type": "Text", "value": "Surveyed", }, { "reference": "CELL15", "location": "Minerals!G1", "type": "Text", "value": "Smelter IDs", }, { "reference": "CELL16", "location": "Minerals!H1", "type": "Text", "value": "Response date", }, { "reference": "CELL17", "location": "Mi
	nerals!I1", "type": "Text", "value": "Source IDs", }, { "reference": "CELL18", "location": "Minerals!A2", "type": "Text", "value": "TIN1", }, { "reference": "CELL19", "location": "Minerals!B2", "type": "Text", "value": "SUP1", }, { "reference": "CELL20", "location": "Minerals!C2", "type": "Text", "value": "PRODUCT1", }, { "reference": "CELL21", "location": "Minerals!D2", "type": "Text", "value": "Tin", }, { "reference": "CELL22", "location": "Minerals
	!E2", "type": "Text", "value": "Yes", }, { "reference": "CELL23", "location": "Minerals!F2", "type": "Text", "value": "Yes", }, { "reference": "CELL24", "location": "Minerals!G2", "type": "Text", "value": "SMELTER1", }, { "reference": "CELL25", "location": "Minerals!H2", "type": "Text", "value": "2026-10-01", }, { "reference": "CELL26", "location": "Minerals!I2", "type": "Text", "value": "S1", }, { "reference": "CELL27", "location": "Minerals!A3", "type":

Field	Value
	e":{"Text","value":"TANTALUM1"},{"reference":"CELL28","location":"Minerals!B3","type":"Text","value":"SUP1"},{"reference":"CELL29","location":"Minerals!C3","type":"Text","value":"PRODUCT1"},{"reference":"CELL30","location":"Minerals!D3","type":"Text","value":"Tantalum"},{"reference":"CELL31","location":"Minerals!E3","type":"Text","value":"No"},{"reference":"CELL32","location":"Minerals!F3","type":"Text","value":"Yes"},{"reference":"CELL33","location":"Minerals!G3","type":"Text","value":""},{"reference":"CELL34","location":"Minerals!H3","type":"Text","value":"2026-10-01"},{"reference":"CELL35","location":"Minerals!I3","type":"Text","value":"S1"},{"reference":"CELL36","location":"Minerals!A4","type":"Text","value":"TUNGSTEN1"},{"reference":"CELL37","location":"Minerals!B4","type":"Text","value":"PRODUCT1"},{"reference":"CELL38","location":"Minerals!C4","type":"Text","value":"PRODUCT1"},{"reference":"CELL39","location":"Minerals!D4","type":"Text","value":"Tungsten"},{"reference":"CELL40","location":"Minerals!E4","type":"Text","value":"Unknown"},{"reference":"CELL41","location":"Minerals!F4","type":"Text","value":"No"},{"reference":"CELL42","location":"Minerals!G4","type":"Text","value":""}
	,{"reference":"CELL43","location":"Minerals!H4","type":"Text","value":"2026-10-01"},{"reference":"CELL44","location":"Minerals!I4","type":"Text","value":"S1"},{"reference":"CELL45","location":"Minerals!A5","type":"Text","value":"GOLD1"},{"reference":"CELL46","location":"Minerals!B5","type":"Text","value":"SUP1"},{"reference":"CELL47","location":"Minerals!C5","type":"Text","value":"PRODUCT1"},{"reference":"CELL48","location":"Minerals!D5","type":"Text","value":"Gold"},{"reference":"CELL49","location":"Minerals!E5","type":"Text","value":"No"},{"reference":"CELL50","location":"Minerals!F5","type":"Text","value":"Yes"},{"reference":"CELL51","location":"Minerals!G5","type":"Text","value":""},{"reference":"CELL52","location":"Minerals!H5","type":"Text","value":"2026-10-01"},{"reference":"CELL53","location":"Minerals!I5","type":"Text","value":"S1"},{"reference":"CELL54","location":"Smelters!A1","type":"Text","value":"Record reference"},{"reference":"CELL55","location":"Smelters!B1","type":"Text","value":"Smelter ID"},{"reference":"CELL56","location":"Smelters!C1","type":"Text","value":"Mineral"},{"reference":"CELL57","location":"Smelters!D1","type":"Text","value":"Name"},{"reference":"CELL58","location":"Smelters!E1","type":"Text","value":"Product"}]

Field	Value
	L58", "location": "Smelters!E1", "type": "Text", "value": "Country"}, {"reference": "CELL59", "location": "Smelters!F1", "type": "Text", "value": "Declared status"}, {"reference": "CELL60", "location": "Smelters!G1", "type": "Text", "value": "Status evidence IDs"}, {"reference": "CELL61", "location": "Smelters!H1", "type": "Text", "value": "Source IDs"}, {"reference": "CELL62", "location": "Smelters!A2", "type": "Text", "value": "SM1"
	}, {"reference": "CELL63", "location": "Smelters!B2", "type": "Text", "value": "SMELTER1"}, {"reference": "CELL64", "location": "Smelters!C2", "type": "Text", "value": "Tin"}, {"reference": "CELL65", "location": "Smelters!D2", "type": "Text", "value": "Fictional smelter"}, {"reference": "CELL66", "location": "Smelters!E2", "type": "Text", "value": "Fictional country"}, {"reference": "CELL67", "location": "Smelters!F2", "type": "Text",
	"value": "Unknown"}, {"reference": "CELL68", "location": "Smelters!G2", "type": "Text", "value": ""}, {"reference": "CELL69", "location": "Smelters!H2", "type": "Text", "value": "S1"}], "coverage": "Complete supported field set", "sourceKind": "Browser extraction from exact original bytes"}
reviewScope	Product
scopeDescription	Fictional product PRODUCT1. Independent BCT workbook. No CMRT certification.
supplierResponses 1. reference	TIN1
supplierResponses 1. sourceIds	["S1"]
supplierResponses 1. supplierId	SUP1
supplierResponses 1. productId	PRODUCT1
supplierResponses 1. mineral	Tin
supplierResponses 1. contains	Yes
supplierResponses 1. surveyed	Yes
supplierResponses 1. smelterIds	["SMELTER1"]
supplierResponses 1. responseOn	October 1, 2026
supplierResponses 2. reference	TANTALUM1
supplierResponses 2. sourceIds	["S1"]

Field	Value
supplierResponses 2. supplierId	SUP1
supplierResponses 2. productId	PRODUCT1
supplierResponses 2. mineral	Tantalum
supplierResponses 2. contains	No
supplierResponses 2. surveyed	Yes
supplierResponses 2. smelterIds	[]
supplierResponses 2. responseOn	October 1, 2026
supplierResponses 3. reference	TUNGSTEN1
supplierResponses 3. sourceIds	["S1"]
supplierResponses 3. supplierId	SUP1
supplierResponses 3. productId	PRODUCT1
supplierResponses 3. mineral	Tungsten
supplierResponses 3. contains	Unknown
supplierResponses 3. surveyed	No
supplierResponses 3. smelterIds	[]
supplierResponses 3. responseOn	October 1, 2026
supplierResponses 4. reference	GOLD1
supplierResponses 4. sourceIds	["S1"]
supplierResponses 4. supplierId	SUP1
supplierResponses 4. productId	PRODUCT1
supplierResponses 4. mineral	Gold
supplierResponses 4. contains	No
supplierResponses 4. surveyed	Yes
supplierResponses 4. smelterIds	[]

Field	Value
supplierResponses 4. responseOn	October 1, 2026
smelters 1. reference	SM1
smelters 1. sourceIds	["S1"]
smelters 1. smelterId	SMEILTER1
smelters 1. mineral	Tin
smelters 1. name	Fictional smelter
smelters 1. country	Fictional country
smelters 1. declaredStatus	Unknown
smelters 1. statusEvidenceIds	[]
reviews 1. reference	REVIEW-TIN1
reviews 1. sourceIds	["S1"]
reviews 1. itemReference	TIN1
reviews 1. on	October 9, 2026
reviews 1. position	Accepted
reviews 1. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 1. approvedBy	Fictional qualified reviewer
reviews 1. approvedOn	October 9, 2026
reviews 2. reference	REVIEW-TANTALUM1
reviews 2. sourceIds	["S1"]
reviews 2. itemReference	TANTALUM1
reviews 2. on	October 9, 2026
reviews 2. position	Accepted
reviews 2. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.

Field	Value
reviews 2. approvedBy	Fictional qualified reviewer
reviews 2. approvedOn	October 9, 2026
reviews 3. reference	REVIEW-TUNGSTEN1
reviews 3. sourceIds	["S1"]
reviews 3. itemReference	TUNGSTEN1
reviews 3. on	October 9, 2026
reviews 3. position	Accepted
reviews 3. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 3. approvedBy	Fictional qualified reviewer
reviews 3. approvedOn	October 9, 2026
reviews 4. reference	REVIEW-GOLD1
reviews 4. sourceIds	["S1"]
reviews 4. itemReference	GOLD1
reviews 4. on	October 9, 2026
reviews 4. position	Accepted
reviews 4. rationale	FICTIONAL source-linked review assertion. Requires customer tailoring.
reviews 4. approvedBy	Fictional qualified reviewer
reviews 4. approvedOn	October 9, 2026

Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

Source	Provision	Date	Link
Responsible Minerals Initiative: Minerals Reporting Templates. Introduction	RMI describes its versioned minerals reporting templates and the distinct scopes of CMRT, EMRT and AMRT. This independent tool does not redistribute or validate RMI templates. It supports only the independently authored BCT Responsible Minerals Review Workbook 1.0, with preset 3TG fields and data logic. No RMI certification, conformant smelter lookup or CMRT compatibility is claimed.	October 9, 2026	https://www.responsiblemineralsinitiative.org/reporting-templates/mrt-introduction/