

COMPLIANCE WORKING RECORD

# Product compliance requirements matrix

FICTIONAL evidence sample. Requires tailoring.

Document control

| Field              | Value                                 |
|--------------------|---------------------------------------|
| Document type      | Evidence workpaper                    |
| Document reference | CASE-FICTIONAL                        |
| Jurisdiction       | United States                         |
| Version            | 2026-10-09.product-sector.1           |
| Status             | FICTIONAL sample. Requires tailoring. |
| Classification     | Private                               |
| Prepared by        | Fictional responsible reviewer        |
| Prepared on        | October 9, 2026                       |
| Sources reviewed   | October 9, 2026                       |
| Generated          | October 9, 2026                       |

Document control and frozen case

Map expert-approved requirements and evidence to exact customer products, versions, variants and markets while preserving unresolved applicability.

| Field                | Value                            |
|----------------------|----------------------------------|
| Case reference       | CASE-FICTIONAL                   |
| Organization         | Fictional Example Company        |
| Subject              | Fictional product or sector case |
| Responsible reviewer | Fictional responsible reviewer   |
| Preparation date     | October 9, 2026                  |

| Field                   | Value                                                                           |
|-------------------------|---------------------------------------------------------------------------------|
| Scope                   | Fictional customer-approved scope. Replace all example records before real use. |
| Customer policy         | POLICY-FICTIONAL                                                                |
| Customer policy version | fictional-v1                                                                    |
| Declared approver       | Fictional qualified reviewer                                                    |
| Declared approval date  | October 2, 2026                                                                 |
| Decision boundary       | No substantive decision or external filing made.                                |

Named method and supported scope

Join each exact market/product/version requirement to its approved evidence IDs and dated human acceptance. Identify omissions, expired evidence, wrong scope, failed records, contradictory decisions and unassigned products.

No automatic applicable-law discovery, conformity assessment, product safety conclusion or certification. Up to 100 rows per table, 20 originals and 25,000,000 combined original bytes. Complete oversized comparisons reject rather than truncate.

Original contents, annotations, approvals and legal applicability remain customer declarations. File hashes establish retained byte identity only.

No certificate issuance, environmental filing, recall notification, test execution, clinical decision, regulatory submission or independent certification occurs.

All accepted records remain complete. Recovery preserves original bytes and frozen results without creating fresh payment, source authentication, consent or approval authority.

Complete result counts

| Measure                                        | Value |
|------------------------------------------------|-------|
| Requirements with unresolved scope or evidence | 0     |

## 1. Original evidence source (S1)

State. Customer verification linked

Customer-declared original identity and verification only. Content is not extracted or independently authenticated.

| Field                            | Value                                                             |
|----------------------------------|-------------------------------------------------------------------|
| id                               | S1                                                                |
| fileId                           | F1                                                                |
| locator                          | Fictional section one                                             |
| sourceDate                       | October 1, 2026                                                   |
| custodian                        | Fictional customer custodian                                      |
| description                      | Fictional source declaration. Does not establish actual evidence. |
| verification                     | Customer verified                                                 |
| verifiedBy                       | Fictional reviewer                                                |
| verifiedOn                       | October 2, 2026                                                   |
| Exact evidence source references | S1                                                                |

## 2. Original byte manifest (F1)

State. Indexed

Complete export requires these exact original bytes.

| Field                            | Value                                                                |
|----------------------------------|----------------------------------------------------------------------|
| id                               | F1                                                                   |
| name                             | FICTIONAL-product-sector-evidence.txt                                |
| mimeType                         | text/plain                                                           |
| bytes                            | 220                                                                  |
| sha256                           | 09af1d0212932d147d35388697e14909c29d77332a1caa<br>645e4c7d0cfe8c4609 |
| Exact evidence source references | S1                                                                   |

### 3. Frozen customer policy (POLICY-FICTIONAL)

State. Documented

Exact approved customer scope. No automatic legal applicability.

| Field                            | Value                        |
|----------------------------------|------------------------------|
| reference                        | POLICY-FICTIONAL             |
| version                          | fictional-v1                 |
| approvedBy                       | Fictional qualified reviewer |
| approvedOn                       | October 2, 2026              |
| sourceIds                        | ["S1"]                       |
| Exact evidence source references | S1                           |

### 4. Product market requirement matrix (REQ1)

State. Customer-approved evidence mapped

Legal applicability and conformity remain expert decisions. Another product or version never supplies coverage.

| Field             | Value                                  |
|-------------------|----------------------------------------|
| reference         | REQ1                                   |
| sourceIds         | ["S1"]                                 |
| productReference  | PRODUCT1                               |
| productVersion    | fictional-v1                           |
| variant           | Fictional variant                      |
| market            | United States                          |
| instrument        | Fictional customer-selected instrument |
| instrumentVersion | fictional-v1                           |
| provision         | Fictional provision                    |
| exactRequirement  | FICTIONAL approved product requirement |
| selection         | Applicable                             |

| Field                            | Value                                                                                                                                                                                                                                                                                                                       |
|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| selectionRationale               | FICTIONAL expert-approved product scope                                                                                                                                                                                                                                                                                     |
| evidenceIds                      | ["EVIDENCE1"]                                                                                                                                                                                                                                                                                                               |
| approvedBy                       | Fictional qualified reviewer                                                                                                                                                                                                                                                                                                |
| approvedOn                       | October 2, 2026                                                                                                                                                                                                                                                                                                             |
| Exact product scope              | [{"reference":"PRODUCT1","sourceIds":["S1"],"version":"fictional-v1","variant":"Fictional variant","description":"Fictional general-use product","market":"United States"}]                                                                                                                                                 |
| Every selected evidence record   | [{"reference":"EVIDENCE1","sourceIds":["S1"],"requirementReference":"REQ1","productReference":"PRODUCT1","productVersion":"fictional-v1","variant":"Fictional variant","market":"United States","description":"FICTIONAL current product evidence","on":"2026-10-03","validUntil":"2026-12-31","reportedOutcome":"Passed"}] |
| Every exact human decision       | [{"reference":"DECISION1","sourceIds":["S1"],"requirementReference":"REQ1","productReference":"PRODUCT1","productVersion":"fictional-v1","position":"Approved","rationale":"FICTIONAL qualified review only","approvedBy":"Fictional qualified reviewer","approvedOn":"2026-10-04"}]                                        |
| Gaps                             |                                                                                                                                                                                                                                                                                                                             |
| Exact evidence source references | S1                                                                                                                                                                                                                                                                                                                          |

## Complete accepted task inputs

The following exact accepted fields preserve every supplied task record, including orphan records and contradictions. They are customer annotations, not independently extracted or authenticated evidence.

| Field                   | Value                         |
|-------------------------|-------------------------------|
| products 1. reference   | PRODUCT1                      |
| products 1. sourceIds   | S1                            |
| products 1. version     | fictional-v1                  |
| products 1. variant     | Fictional variant             |
| products 1. description | Fictional general-use product |

| Field                              | Value                                   |
|------------------------------------|-----------------------------------------|
| products 1. market                 | United States                           |
| requirements 1. reference          | REQ1                                    |
| requirements 1. sourceIds          | S1                                      |
| requirements 1. productReference   | PRODUCT1                                |
| requirements 1. productVersion     | fictional-v1                            |
| requirements 1. variant            | Fictional variant                       |
| requirements 1. market             | United States                           |
| requirements 1. instrument         | Fictional customer-selected instrument  |
| requirements 1. instrumentVersion  | fictional-v1                            |
| requirements 1. provision          | Fictional provision                     |
| requirements 1. exactRequirement   | FICTIONAL approved product requirement  |
| requirements 1. selection          | Applicable                              |
| requirements 1. selectionRationale | FICTIONAL expert-approved product scope |
| requirements 1. evidenceIds        | EVIDENCE1                               |
| requirements 1. approvedBy         | Fictional qualified reviewer            |
| requirements 1. approvedOn         | October 2, 2026                         |
| evidence 1. reference              | EVIDENCE1                               |
| evidence 1. sourceIds              | S1                                      |
| evidence 1. requirementReference   | REQ1                                    |
| evidence 1. productReference       | PRODUCT1                                |
| evidence 1. productVersion         | fictional-v1                            |
| evidence 1. variant                | Fictional variant                       |
| evidence 1. market                 | United States                           |
| evidence 1. description            | FICTIONAL current product evidence      |

| Field                             | Value                           |
|-----------------------------------|---------------------------------|
| evidence 1. on                    | October 3, 2026                 |
| evidence 1. validUntil            | December 31, 2026               |
| evidence 1. reportedOutcome       | Passed                          |
| decisions 1. reference            | DECISION1                       |
| decisions 1. sourceIds            | S1                              |
| decisions 1. requirementReference | REQ1                            |
| decisions 1. productReference     | PRODUCT1                        |
| decisions 1. productVersion       | fictional-v1                    |
| decisions 1. position             | Approved                        |
| decisions 1. rationale            | FICTIONAL qualified review only |
| decisions 1. approvedBy           | Fictional qualified reviewer    |
| decisions 1. approvedOn           | October 4, 2026                 |

## Recovery and original attachment boundary

The complete local ZIP includes every original file listed in the retained manifest. A structured JSON or cloud record alone preserves the descriptor and annotation, not the original file bytes. Attach matching bytes before producing a complete original-attachment pack.

Customer recovery restores neither source authentication, approval authority nor paid export access. Payment ownership is checked before each commercial export.

Official sources

| Source                                                                              | Provision                                                                                                                                                                                                                                                                                                                                                       | Date            | Link                                                                                                                                                                                                                                              |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Consumer Product Safety Commission: General Use Products. Certification and Testing | CPSC describes general-use GCC preparation and identifies 16 CFR 1110.11 certificate elements. Manufacturer/importer responsibility remains external. The page warns that its older filing guidance may omit eFiling requirements beginning July 8, 2026. This draft does not issue a certificate, support children's-product certification or satisfy eFiling. | October 9, 2026 | <a href="https://www.cpsc.gov/Business--Manufacturing/Testing-Certification/General-Use-Products-Certification-and-Testing">https://www.cpsc.gov/Business--Manufacturing/Testing-Certification/General-Use-Products-Certification-and-Testing</a> |