

COMPLIANCE WORKING RECORD

Delegation and approval checker

Fictional sample. Requires tailoring and responsible review.

Document control

Field	Value
Organization	Not recorded
Document type	Delegation and approval checker
Document reference	35-delegation-and-approval-checker
Jurisdiction	United States
Version	1
Status	Review has policy exceptions
Prepared by	Customer prepared
Prepared on	October 22, 2026
Sources reviewed	September 24, 2026
Generated	October 22, 2026

Purpose and boundary

Check actions for self approval, incomplete financial limits, amounts above recorded limits, expired delegations, duplicate action IDs, and undocumented nonfinancial approval bases.

Mechanical review applies only to the supplied source and customer-approved rules. It does not authenticate evidence, determine legal applicability, approve closure or work, clear a party, submit a filing, or change a source system.

Document control

Field	Value
Tool identifier	35-delegation-and-approval-checker
Specification version	1

Field	Value
Dataset method revision	2026-10-08.task-dataset-review.1
Engine	validator
Result status	Review has policy exceptions

Structured inputs

Field	Value
Frozen review date	October 8, 2026
Approved policy and scope reference	Fictional approved policy v1. Fictional reviewer. Replace before use.
Approval currency or unit	USD

Result

2 source rows checked. 0 unresolved rows, 1 policy exceptions, and 0 missing checklist records.

- Check actions for self approval, incomplete financial limits, amounts above recorded limits, expired delegations, duplicate action IDs, and undocumented nonfinancial approval bases.

- Method revision 2026-10-08.task-dataset-review.1.

- Frozen review date 2026-10-08.

- Approved rule Fictional approved policy v1. Fictional reviewer. Replace before use..

- Every source row is preserved. Missing checklist entries use an empty source-row pointer. Duplicate identities remain unresolved in every occurrence.

- Exact identifiers and checklist values are case-sensitive. Status comparisons ignore case where the displayed contract names a supported status. Surrounding whitespace in identifiers requires review.

- Amounts use exact scaled-integer arithmetic. No source values, dates, quantities, or original bytes are changed.

- Mechanical review applies only to the supplied source and customer-approved rules. It does not authenticate evidence, determine legal applicability, approve closure or work, clear a party, submit a filing, or change a source system.

Measure	Value
Source rows	2
Result rows	2

Measure	Value
Unresolved	0
Policy exceptions	1
Missing checklist records	0

Unresolved items

- Row 3. fictional-002. Requester and approver are the same identity. Amount exceeds the recorded approval limit. Delegation expired before the review date

Detailed results

Row	Reference	Result	Finding
2	fictional-001	Within selected rules	The supported administrative checks found no exception under the selected rules.
3	fictional-002	Review required	Requester and approver are the same identity. Amount exceeds the recorded approval limit. Delegation expired before the review date

Row	Requester	Approver	Amount
2	Fictional requester	Fictional approver	100
3	Fictional requester	Fictional requester	1001

Row	Approval limit	Remaining limit	Currency
2	1000	900	USD
3	1000	-1	USD

Row	Approval status
2	Customer-supplied delegation

Row	Approval status
3	Customer-supplied delegation

Assumptions

- No additional assumption was recorded.

Limitations

- Mechanical review applies only to the supplied source and customer-approved rules. It does not authenticate evidence, determine legal applicability, approve closure or work, clear a party, submit a filing, or change a source system.

Official sources

Source	Provision	Date	Link
US Small Business Administration: Stay legally compliant	State registration, licenses, permits, records and recurring obligations. This source supports the Delegation and approval checker scope described on this page. It does not determine applicability or approve the customer result.	September 24, 2026	https://www.sba.gov/business-guide/manage-your-business/stay-legally-compliant

Source	Provision	Date	Link
US Government Accountability Office: Standards for Internal Control in the Federal Government	Five components, 17 principles and documentation attributes. This source supports the Delegation and approval checker scope described on this page. It does not determine applicability or approve the customer result.	September 24, 2026	https://www.gao.gov/greenbook
US Securities and Exchange Commission: Small Business Compliance Guides	SEC rules affecting small entities, reporting and securities administration. This source supports the Delegation and approval checker scope described on this page. It does not determine applicability or approve the customer result.	September 24, 2026	https://www.sec.gov/resources-small-businesses/small-business-compliance-guides
US National Archives and Records Administration: Federal Records Management	Records schedules, lifecycle controls, transfer and disposition. This source supports the Delegation and approval checker scope described on this page. It does not determine applicability or approve the customer result.	September 24, 2026	https://www.archives.gov/records-mgmt